



Account
Opening Form for
Non-individual

Equity & Commodity



PORTFOLIO TRACKER

ALERTS & REMINDER

FAMILY PORTFOLIO

STOCK SCREENER & CHARTS

RESEARCH & MARKET INFO

ONLINE TRADING

Branch Code	
Sub-broker/AP Code	

FOR CSO USE ONLY

Form No.	1234567890
Client Code	
DP ID	
Ver: 2.1	Oct 2021

Full Name of Verifier: _____

Employee Code: _____

KYC Checklist



KYC Checklist

*Copies of all documents to be self attested.

<div>I. General<div><input type="checkbox"/> Passport size photo<input type="checkbox"/> PAN Card</div><div><input type="checkbox"/> Signed across<input type="checkbox"/> Pan Verification</div><div><input type="checkbox"/> Clients signature on all required Places</div><div><input type="checkbox"/> In person verification stamp on all docs (Individuals)</div><div><input type="checkbox"/> Original seen and verified stamp on all docs</div><div><input type="checkbox"/> Witness Signature<input type="checkbox"/> All Copies Self Attested</div><div><input type="checkbox"/> Agreement Date<input type="checkbox"/> Financial Documentary Proof</div></div>	<div>VI. HUF<div><input type="checkbox"/> Pan Card of Karta<input type="checkbox"/> Address Proof of Karta</div><div><input type="checkbox"/> Pan Card (HUF)<input type="checkbox"/> Deed of Declaration of HUF</div><div><input type="checkbox"/> Address proof of HUF<input type="checkbox"/> Signature Coparcener on POA</div><div><input type="checkbox"/> HUF Stamp<input type="checkbox"/> HUF Demat Proof (Trading only)</div><div><input type="checkbox"/> List of Coparceners<input type="checkbox"/> Photograph of Karta</div><div><input type="checkbox"/> Bank Pass Book in the name of HUF<input type="checkbox"/> Bank Statement in the name of HUF</div></div>
<div>II. Proof of Identify<div>Holders: <input type="checkbox"/> 1st holder<input type="checkbox"/> 2nd holder<input type="checkbox"/> 3rd holder</div><div><input type="checkbox"/> PAN card with Photograph<input type="checkbox"/> UID (Aadhaar)</div><div>Any other Supporting doc; _____</div></div>	<div>VII. Corporate<div><input type="checkbox"/> MOA, AOA & COI<input type="checkbox"/> Certified copy of BR</div><div><input type="checkbox"/> Photo of Directors<input type="checkbox"/> Company PAN</div><div><input type="checkbox"/> Company Address Proof<input type="checkbox"/> Form 32</div><div><input type="checkbox"/> Form 18<input type="checkbox"/> Authorised Directors's Address Proof</div><div><input type="checkbox"/> All Director's PAN<input type="checkbox"/> IT Returns</div><div><input type="checkbox"/> Last 2 Years Financials (to be Submitted every year)<input type="checkbox"/> New Company- Network Certificate</div><div><input type="checkbox"/> Copy of latest holding pattern (to be Submitted every year)<input type="checkbox"/> PAN of Individual Promoters</div><div><input type="checkbox"/> Authorised signatory list with specimen signatures<input type="checkbox"/> PAN of Person Authorised to deal in Securities</div><div><input type="checkbox"/> Ultimate beneficiary owner</div></div>
<div>III. Proof of Permanent Address<div>Holders: <input type="checkbox"/> 1st holder<input type="checkbox"/> 2nd holder<input type="checkbox"/> 3rd holder</div><div><input type="checkbox"/> Ration Card<input type="checkbox"/> Valid Passport</div><div><input type="checkbox"/> Voter ID<input type="checkbox"/> Valid Driving License</div><div><input type="checkbox"/> Bank Passbook (Not more than 3 months old)<input type="checkbox"/> Electricity Bill (Not more than 3 months old)</div><div><input type="checkbox"/> Land line Bill (Not more than 3 months old)<input type="checkbox"/> Bank Statement (Not more than 3 months old)</div><div><input type="checkbox"/> Registered Lease or Sale Agreement<input type="checkbox"/> Bank Verification Letter</div><div>Any other Supporting doc: _____</div></div>	<div>VIII. Demat / Comtrack / ComRIS Proof (Latest of 1 year - Only for Trading)<div><input type="checkbox"/> Client Master<input type="checkbox"/> Welcome Letter</div><div><input type="checkbox"/> DP Statement</div><div><input type="checkbox"/> Transaction cum Holding Statement</div></div>
<div>IV. Proof of Correspondence address<div><input type="checkbox"/> Ration Card<input type="checkbox"/> Valid Passport</div><div><input type="checkbox"/> Voter ID<input type="checkbox"/> Valid Driving License</div><div><input type="checkbox"/> Bank Passbook<input type="checkbox"/> Electricity Bill (Not more than 3 months old)</div><div><input type="checkbox"/> Land line Bill (Not more than 2 months old)<input type="checkbox"/> Bank Statement (Not more than 3 months old)</div><div><input type="checkbox"/> Sell Agreement<input type="checkbox"/> Leave & Licence Agreement (Not more than 3 months old)</div><div><input type="checkbox"/> Bank Verfication Letter</div></div>	<div>IX. Partnership A/c<div><input type="checkbox"/> Identity Proof (all partners)<input type="checkbox"/> Address Proof (all partners)</div><div><input type="checkbox"/> Partnership Deed copy<input type="checkbox"/> Signatory Letter</div><div><input type="checkbox"/> PAN of Partners<input type="checkbox"/> Photos of Partners</div><div><input type="checkbox"/> Copy of Balance sheet for last 2 yrs</div><div><input type="checkbox"/> Authorised signatory list with specimen signatures</div><div><input type="checkbox"/> Certificate of Registration</div></div>
<div>V. Bank Proof With MICR Code<div>(HUF, NRI, Corporate) _____</div><div><input type="checkbox"/> Cancelled cheque<input type="checkbox"/> Bank Statement</div><div><input type="checkbox"/> Pass book<input type="checkbox"/> Letter from bank</div></div>	<div>X. Trust<div><input type="checkbox"/> Copy of Balance sheet for last 2 yrs<input type="checkbox"/> List of trustees certified by managing trustees / CA</div><div><input type="checkbox"/> Certificate of Registration<input type="checkbox"/> Address Proof (all trustees)</div><div><input type="checkbox"/> Trust Deed copy<input type="checkbox"/> Photos of trustees</div><div><input type="checkbox"/> PAN of trustees</div></div>
<div>XI. Financial Documentary Proof<div><input type="checkbox"/> Copy of ITR Acknowledgement<input type="checkbox"/> Bank account statement for last 6 months</div><div><input type="checkbox"/> Copy of Annual Accounts<input type="checkbox"/> Copy of demat account Holding statement.</div><div><input type="checkbox"/> Copy of Form 16 in case of salary income<input type="checkbox"/> Any other relevant documents substantiating ownership of assets.</div><div><input type="checkbox"/> Net worth certificate<input type="checkbox"/> Self declaration along with relevant supporting</div><div><input type="checkbox"/> Salary Slip</div></div>	

*Please go through the Instruction/Checklist for filing KYC Form for further details.

INDEX OF DOCUMENTS

S. No.	Name of the Document	Brief Significance of the Document	Page No
MANDATORY DOCUMENTS AS PRESCRIBED BY SEBI & EXCHANGES			
1.	Account Opening Form and KRA Form	A. KYC Form – Document captures the basic information about the constituent and an instruction/check list.	1 to 9
		B. Document captures the additional information about the constituent relevant to Trading/ Demat account and an instruction/check list.	
2.	Tariff sheet	Document detailing the rate/amount of brokerage & other charges levied on the client for trading on stock exchange(s) & DP Service charges/Fee Structure	10 to 11
3.	Beneficial Ownership Determination Form	Document for determination of Natural person/s who ultimately own, control/influence a client and/or persons on whose behalf a transaction is being conducted.	12 to 13
4.	<div>▼ Declaration by HUF & consent letter</div> <div>▼ Declaration by partnership Firm</div> <div>▼ Board Resolution (Trading / Demat)</div>	Declarations / Documents required from different persons for execution of various client registration documents.	14 to 16
5.	Rights and Obligations	Document stating the Rights & Obligations of stock broker/trading member, sub-broker/Authorised Person and client for trading on exchange (including additional rights & obligations in case of internet/wireless technology based trading).	SEPARATE COPY FOR CLIENT
6.	Risk Disclosure Document (RDD)	Document detailing risks associated with dealing in the securities market.	
7.	Guidance note	Document detailing do's and don'ts for trading on exchange, for the education of the investors.	
8.	Rights and Obligations for DP	Document stating the Rights & Obligations of Beneficial Owner and Depository Participant.	
VOLUNTARY DOCUMENTS AS PROVIDED BY THE STOCK BROKER			
1.	Client Declaration – Open Interest Position	Declaration provided by Client to Angel for Open Interest Position	17
2.	Running Account authorization	Authorization to maintain a running account	17
3.	Electronic Contract Note (ECN) – Declaration	Documents for delivery of ECN	17
4.	Voluntary Terms & Conditions	Additional terms & conditions speci c to Angel for the purpose of operational ef ciency.	18 to 21
5.	Rights and Obligations – MTF	Rights and obligations relating to margin trading facility provided To client	22 to 23
	Power of Attorney for the purpose of settlement / Margin obligation	POA provided by BO to Angel for settlement / Margin purpose	24 to 25

Client Code: _____

FATCA / CRS DECLARATION (NON – INDIVIDUALS)

1. Name of Entity: _____

2. Country of Incorporation: ☐ India ☐ US Other _____3. Nature of Business ☐ Manufacturing ☐ Financial Services ☐ Distribution / Retail
☐ Consultancy ☐ IT ☐ Investments ☐ Others4. Services Provided: ☐ Forex / Money Charger Services ☐ Gaming / Gambling / Lottery Services
☐ Money Leading / Pawing ☐ None of the above5. (a) Country of Tax Residence: ☐ India ☐ US Other _____

5. (b) Tax Identification No. (US TIN) or equipment (other): 1) _____ 2) _____

6. Whether "Specified US Person" ☐ Yes ☐ No

(Please full up EITHER section 7 OR section 8, as the case may be)

7. Please fill up this section if entity is Non US Financial Institution (FFI):

a. Registered Deemed Complaint FFI ☐

(Reporting Model 1 FFI)

b. Participating FFI ☐

Please provide GIIN (mandatory) _____

If GIIN is not available, please select:

a. Owner Documented FFI with specified US owners ☐b. Deemed complaint FFI (Other than above mentioned categories) ☐c. Exempt Beneficial Owner ☐d. Non-Participating Foreign Financial Institution ☐e. Non-reporting FFI ☐

8. Please fill up this section if entity is Non Financial Entity:

a. Active NFFE ☐b. Passive NFFE ☐c. Direct Reporting NFFE ☐

GIIN (mandatory if 'c' is selected): _____

9. Please fill below if applicable:

a. Our company is Listed Company listed on recognized stock exchange

b. Our company is a subsidiary of the Company

c. Our company is controlled by a Listed Company

Details of Listed Company (if 2nd or 3rd selected above):

a. Name of Company: _____

b. Stock Exchange on which listed: _____

Declaration

1. I/We hereby that the details furnished above are true and correct to the best of my/our knowledge and belief correct and complete. In case of any change in the above given status on a future date, I/we undertake to inform Angel Brooking the same within 30 days.
2. I/we agree that if we are a Specified U.S. Person or tax resident of a reportable foreign jurisdiction (other than U.S.) or an entity with US Persons / foregin tax residents UBO requiring under FATCA/CRS or any other laws, our account details, as required under Inter Governmental Agreement (IGA) /Multilateral Competent Authority Agreement (MCAA) signed by Indian Government, would be reported by Angel One to the relevant tax authority.
3. I/We hereby confirm that details as provided above can be shared by Angel One with the concerned Asset Management Companies (AMC's) or such other product providers, to whom FATCA/CRS norms are applicable, in whose schemes / products we may invest/transact in future through Angel One.

Name:_____ Designation:_____

Signature: _____ Date: _____

For more details about FATCA, please refer US IRS website on -

<http://www.irs.gov/Businesses/Corporations/Foreign-Account-Tax-Compliance-Act-FATCA>. If you are sure about your entity's FATCA status, you are requested to contact your tax advisor.

Declaration for Ultimate Beneficial Ownership (UBO)

[Mandatory for all entities except listed company or subsidiary of / controlled by a listed company
AND UBO holding is more than 25% (corporate) / 15% (entities other than corporate)]

To,
Angel One

Name of Customer: _____

☐ We hereby declare that besides the persons mentioned in the below table there are no other natural person's who ultimately hold 25%^(a) or more of shares directly or indirectly; or exercise control/influence, whether directly or indirectly through voting rights/agreement/arrangement. (You can take Multiple copies of this page if details of more than 2 persons are to be mentioned)

Name		
Father's Name		
Gender	<input type="checkbox"/> Male <input type="checkbox"/> Female	<input type="checkbox"/> Male <input type="checkbox"/> Female
Address with city, state postal code and country		
Birth Date		
Country of Birth		
Nationality		
US Person (Y/N)		
Country of Tax Residency		
TIN equivalent No.		
Occupation Type	<input type="checkbox"/> Service <input type="checkbox"/> Business <input type="checkbox"/> Others	<input type="checkbox"/> Service <input type="checkbox"/> Business <input type="checkbox"/> Others
Share Holding (%)*		
PAN		
ID Proof document submitted	<input type="checkbox"/> PAN <input type="checkbox"/> Passport <input type="checkbox"/> Aadhaar <input type="checkbox"/> Others	<input type="checkbox"/> PAN <input type="checkbox"/> Passport <input type="checkbox"/> Aadhaar <input type="checkbox"/> Others
Relationship with Entity	<input type="checkbox"/> Director <input type="checkbox"/> Shareholder <input type="checkbox"/> Promoter / Trustee / Partner	<input type="checkbox"/> Director <input type="checkbox"/> Shareholder <input type="checkbox"/> Promoter / Trustee / Partner
Address Proof document submitted		
UBO Code (Please refer below point no. 3 UBO Code)		

OR

☐ We hereby declare that there are no natural person's who ultimately hold 25%^(a) or more of share directly or indirectly; or exercise control/influence, whether directly or indirectly through voting rights/agreement/arrangement. Hence, there are no Controlling Persons including U Foreign Citizens / Residents holding 25%^(a) or more shares.

(to be signed by company secretary / partners / trustees / members as applicable)

Notes:
* Nature of Beneficial Owner.
1. a) Shareholding > 25% (In case where juridical person is company) & > 15% (In case Juridical person is Firm / Unincorporated) association / body of individuals / trust)
b) Management Control. If (a) Indicate the extent of shareholding. For (b) mention the capacity in which engaged with the corporate. @ The said natural person may act alone or together, or through one or more juridical person Promoter and controls are terms as defined under Companies' Act and SEBI regulations.

3. UBO code for controlling person type.

UBO Code	Description
C01	CP of Legal person-ownership
C02	CP of Legal person- other means
C03	CP of Legal person-senior managing official
C04	CP of Legal arrangement – trust settlor
C05	CP of Legal arrangement – trust-trustee
C06	CP of Legal arrangement – trust protector
C07	CP of Legal arrangement – trust beneficiary
C08	CP of Legal arrangement –trust-other
C09	CP of Legal arrangement – trust-other settlor equivalent
C10	CP of Legal arrangement – trust-other trustee equivalent
C11	CP of Legal arrangement – trust-other protector equivalent
C12	CP of Legal arrangement – trust-other beneficiary equivalent
C13	CP of Legal arrangement – trust-other other equivalent
C14	Unknown

Definitions

The following definitions and content are based on relevant extracts taken from applicable laws and provided only for reference purpose and do not constitute tax advice. The applicable laws including these definitions are subject to change from time to time and local laws may define the meaning of certain terms differently. Clients should consider updated / local laws and seek appropriate external advice, where necessary.

- 1) Specified U.S. Person:** The term “Specified U.S. Person” means a U.S. Person, Other than:
- i. A corporation the stock of which is regularly traded one or more established securities markets;
 - ii. Any corporation that is a member of the same expanded affiliated group, as defined in section 1471(e) of the U.S. Internal Revenue Code, as corporation described in clause (i);
 - iii. The United States or any wholly owned agency or instrumentality thereof;
 - iv. Any States of the United States, any U.S. Territory, any political subdivision of any the foregoing, or any wholly owned agency or instrumentality of any one or more of the foregoing;
 - v. Any organization exempt from taxation under section 501(a) U.S. Internal Revenue Code or an individual retirement plan as defined in section 7701(a)(37) of the U.S. Internal Revenue Code;
 - vi. Any bank as defined in section 581 of the U.S. Internal Revenue Code;
 - vii. Any real-estate investment trust as defined in section 856 of the U.S. Internal Revenue Code;
 - viii. Any regulated investment company as defined in section 851 of the U.S. Internal Revenue Code or any entity registered with the U.S. Securities and Exchange Commission under the Investment Company Act of 1940 (15 U.S.80a-64)
 - ix. Any common trust fund as defined in section 584(a) of the U.S. Internal Revenue Code;
 - x. Any trust that is exempt from tax under section 664© of the U.S. Internal Revenue Code that is described in section 4947(a)(1) of the U.S. Internal Revenue Code;
 - xi. A dealer in securities, commodities or derivatives financial instruments (Including notional principal contracts, futures, forwards, and options) that is registered such as under the laws of the U.S. States fo any States;
 - xii. A broker as defined in section 6045© of the U.S. Internal Revenue Code; or
 - xiii. Any tax-exempt trust under a plan that is described in section 403(b) or section 457(b) of the U.S. Internal Revenue Code.
- 2. U.S. Persona** The term “U.S. Person” means a U.S. citizen or resident individual, a partnership or corporation organized ih the United States or under the laws of the United States or any other States thereof, a trust if (i) A court within the United States would gave authority under applicable to render orders or judgments concerning substantially all issues regarding administration of the trust, and (ii) One or more U.S. Persons have the authority to control all substantial decisions of the trust, or an estate of a decedent that is citizen or resident of the United States. This paragraph shall be interpreted in accordance with the U.S. Internal Revenue Code.

- 3. Financial Institution (FI):** The term "Financial Institution" means a Custodial Institute, a Depository Institution, an Investment Entity, or a Specified company. [Note: AFFI (Foreign Financial Institution) trust would be Non U.S. FI]
- 4. Investment Entity:**
- A. An entity that primarily conducts as a business one or more of the following activities or operations for or on behalf of a customer:**
1. Trading money market instruments (Cheques, bills, certificate of the deposit, derivatives, etc.) foreign exchange interest rate, and index instruments, transferable securities; or commodities futures.
 2. Individual or collective portfolio management; or otherwise investing, administering, or managing funds, money or financial assets on behalf of other person.
- B.** An entity whose gross income is primarily attributable to investing, reinvesting, or trading and the entity is managed by another entity that is a depository institution, a custodial institution, a specified insurance company, or an investment entity described in paragraph(a); or
- C.** An entity that functions or holds itself out as collective
- 5. GIIN:** GIIN means a Global Intermediary Identification Number assigned to participating FFI, or a reporting model1FFI for purpose for identifying such entity to with holding agents. All GIINs will appear on the IRS FFL list.
- 6. Register deemed Complaint FFI:** An FFI that register with the IRS to declare its status. Includes certain local banks, non-reporting members of participating FFI groups, qualified collective investment vehicles, restricted funds, and FFLs that company with FATCA requirements under an agreement between the U.S. and a foreign government including reporting model 1 FFI that complies with a Model 1 IGA.
- 7. Reporting Mode 1 FFI –** An FFI with respect to which a foreign government or agency thereof agrees to obtain and exchange information pursuant to a Model 1 IGA, other than an FFI that treated as a nonparticipating FFI under the Model 1 IGA.
- 8. Participating FFI:** A participating FFI is a FFI, including a reporting Model 2 FI, that has agreed to Comply with the terms of an FFI agreement. The term participating FI also includes a Qualified Intermediary (QI) branch of a U.S. financial institution, unless such branch is a reporting Model 1 FFI
- 9. Deemed complaint FFI:** An FI that is:
1. A registered deemed complaint FFI
 2. A certified deemed complaint FFI
 3. An owned-document FFI
 4. A QI branch of a U.S. financial institution that is reporting Model 1 FFI
- 10. Exempt Beneficial Owner:** The term exempt beneficial owner means a beneficial owner of a payment made to persons like Government Entities, Government of a U.S. territory, Central Banks, International Organizations, certain Retirement Funds, etc, or that is otherwise treated as an exempt beneficial owner pursuant to a Model 1 IGA or Model 2 IGA.
- 11. Nonparticipating FFI:** An FFI other than a participating FFI, a deemed-complaint FFI, or an exempt beneficial owner.
- 12. Non-reporting IGA FFI:** An FFI that is identified as a Nonparticipating financial institution pursuant to a Model 1 IGA or Model 2 IGA and that is not registered deemed-complaint FFI.
- 13. Passive NFFE:** A "Passive NFFE" means any NFFE that is not (i) an Active NFFE, or (ii) a withholding foreign partnership or withholding foreign trust.
- 14. Active NFFE:** An "Active NFFE" means any NFFE that meets at least one of the following criteria:
- a. Less than 50 percent of the NFFE's gross income for the preceding calendar year or other appropriate reporting period is passive income and less than 50 percent of the assets held by the NFFE during the preceding calendar year or other appropriate reporting period are assets that produce or are held for the production of passive income.
 - b. The stock of the entity is regularly traded on an established securities market or the non-financial entity is a related entity of an entity, the stock of which is regularly traded on an established securities market.
 - c. The entity is Governmental entity, an International Organization, a Central Bank, or an entity wholly owned by one or more of these entities; or

- d. Substantially all of the activities of the entity consist of holding (in whole or in part) the outstanding stock of, or providing financing and services to, one or more subsidiaries that engage in trades or business other than the business of a financial institution: Provided that an entity shall not qualify for this status if it functions as an investment fund, such as private equity fund, venture capital fund, leveraged buyout fund, or any investment vehicle whose purpose is to acquire of fund companies and then hold interests in those companies as capital assets for investment purpose; or The entity is not yet operating a business and has no prior operating history, but is investing capital into assets with the intent to operate a business other than that of a financial institution, provided that the entity shall not qualify for this exception after the date that is twenty four months after the date of the initial organization of the entity; or
- v. The entity was not a financial institution in the past five years, and is in the process of liquidating its assets or is recognizing with intent to continue or recommence operations in a business other than that of a financial institution; or
- vi. The entity primarily engages in financing and hedging transactions with, or for, related entities which are not financial institutions, and does not provide financing or hedging services to any entity which is not a related entity, provided that the group of any such related entities is primarily engaged in a business other than that of a financial institution; or
- vii. The entity fulfills all of the following requirements, namely:
 - a. It is established and operated in India exclusively for religious, charitable, scientific, artistic, cultural, athletic, or educational purpose; or it is established and operated in India and it is professional organization, business league, chamber of commerce, labour organization, agriculture or horticultural organization, civic league or an organization operated exclusively for the promotion of social welfare;
 - b. It is exempt from income tax in India.
 - c. It has no shareholder or members who have a proprietary beneficial interest in its income or assets;
 - d. The applicable laws of the entity's country or territory of residence or the entity's formation documents do not permit any income or assets of the entity to be distributed to, or applied for the benefit of, a private person or non-charitable entity other than pursuant to the conduct of the entity's charitable activities, or as payment of reasonable compensation for services rendered, or as payment representing the fair market value of property which the entity has purchased; and the applicable laws of the entity's country or territory of residence or the entity's formation documents require that, upon the entity's liquidation or dissolution, all of its assets must be distributed to a Governmental entity or other non-profit organization, or escheat to the Government or the entity's country or territory of residence or any political sub-division thereof.

15. Direct Reporting NFFE: A new category of Passive NFFE - a Direct Reporting NFFE that would be treated as an Exempt Beneficial Owner. It will be required to elect to, and report directly to the IRS certain information about its direct or indirect substantial U.S. owner. The NFFE will also be required to register with the IRS to obtain its GIIN.

16. Controlling person means the natural person who exercises control over an entity and includes a beneficial owner as determined under sub-rule (3) of rule 9 of the Prevention Money-laundering (Maintenance of Records) Rules, 2005.

17. Owner-documented FFI: An FFI that:

- a. Is an FFI solely because it is an investment entity.
- b. Is not owned by or in an expanded affiliated group with any FFI that is a depository institution, custodial institution, or specified insurance company;
- c. Does not maintain a financial account for any nonparticipating FFI.
- d. Provides the designated withholding agent with all of the required documentation and agrees to notify the withholding agent if there is a change in circumstances; and
- e. The designated withholding agent agrees to report to the IRS (or to the relevant foreign government or agency thereof) all of the information with respect to any specified U.S. Persons;



Application No. :

Please fill in ENGLISH & in BLOCK LETTERS with black ink

A. IDENTITY DETAILS (PLEASE SEE GUIDELINES OVERLEAF)

1. Name of Applicant

2. Date of Incorporation

3. Date of commencement of business

4. a. PAN

5. Status (Please tick any one)

6. b. Registration No. (e.g. CIN)

Place of Incorporation

(1/15)

Private Limited Co.

Public Ltd. Co.

Body Corporate

Trust

Partnership

Charities

NGO's

FI

FII

HUF

AOP

Government Body

Bank

Non-Government Organization

Defense Establishment

BOI

Society

LLP

Other (please specify)

PHOTOGRAPH

Please affix your recent passport size photograph and sign across it

A. IDENTITY DETAILS (PLEASE SEE GUIDELINES OVERLEAF)

1. Address for Coorespondence

2. Contact Details: Tel (Off.)

3. Specify the proof of address submitted for Correspondence address:

4. Registered Address (If different form above)

City/Town/Village

Sate

Tel (Res.)

Mobile No.

Email ID

Pin Code

Country

City/Town/Village

Sate

Pin Code

Country

C. OTHER DETAILS

1. Name, PAN, residential address and photographs of Promoters/Partners/Karta/Trustees and whole time directors:

2. a) DIN of whole time directors

3. b) Aadhaar number of Promoters/Partner/Karta

(Please fill in the details as provided in Annexure)

DECLARATION

I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/We undertake to inform you of any changes therein immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware are that I/we may be held liable for it.

Date:

Name & Signature of the Authorised Signatory with company seal

(2/15)

FOR OFFICE USE ONLY

AMC / Intermediary name OR code

(Originals Verified) Self Certified Document copies

(Attested) True copies of documents recieved

Seal/Stamp of the intermediary Should contain

Staff Name and Designation

Name of the Organization

Signature and Date

INSTRUCTIONS / CHECK LIST FOR FILLING KYC FORM

A. IMPORTANT POINTS:

- 1. Self attested copy of PAN card is mandatory for all clients.
- 2. Copies of all the documents submitted by the applicant should be self-attested and accompanied by originals for verification. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents, as per the below mentioned list.
- 3. If any proof of identity or address is in a foreign language, then translation into English is required.
- 4. Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
- 5. If correspondence & permanent address are different, then proofs for both have to be submitted.
- 6. Sole proprietor must make the application in his individual name & capacity.
- 7. For non-residents and foreign nationals, (allowed to trade subject to RBI and FEMA guidelines), copy of passport/PIO Card/OCICard and overseas address proof is mandatory.
- 8. For foreign entities, CIN is optional; and in the absence of DIN no. for the directors, their passport copy should be given.
- 9. In case of Merchant Navy NRI's, Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted.
- 10. For opening an account with Depository participant or Mutual Fund for a minor, photocopy of the School Leaving Certificate/Mark sheet issued by Higher Secondary Board/Passport of Minor/Birth Certificate must be provided.
- 11. Politically Exposed Persons (PEP) are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government / judicial/military officers, senior executives of state owned corporations, important political party officials, etc.

B. Proof of Identity(POI): List of documents admissible as Proof of Identity:

- 1. PAN card with photograph. This is a mandatory requirement for all applicants except those who are specifically exempt from obtaining PAN (listed in Section D).
- 2. Unique Identification Number (UID)(Aadhaar) / Passport / Voter ID card / Driving license.
- 3. Identity card/ document with applicant's Photo, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members; and Credit cards/Debit cards issued by Banks.

C. Proof of Address (POA): List of documents admissible as Proof of Address: (*Documents having an expiry date should be valid on the date of submission).

- 1. Passport/Voters Identity Card/Ration Card/Registered Lease or Sale Agreement of Residence/Driving License/Flat Maintenance bill/Insurance Copy.
- 2. Utility bills like Telephone Bill (only land line), Electricity bill or Gas bill - Not more than 3

months old.

- 3. Bank Account Statement/Passbook - Not more than 3 months old.
- 4. Self-declaration by High Court and Supreme Court judges, giving the new address in respect of their own accounts.
- 5. Proof of address issued by any of the following: Bank Managers of Scheduled Commercial Banks/Scheduled Co- perative Bank/Multinational Foreign Banks/Gazetted Officer/Notary public/Elected representatives to the Legislative Assembly/Parliament/Documents issued by any Govt. or Statutory Authority.
- 6. Identity card/document with address, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities and Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members.
- 7. For FII/sub account, Power of Attorney given by FII/sub-account to the Custodians (which are duly notarized and/or apostiled or consularised) that gives the registered address should be taken.
- 8. The proof of address in the name of the spouse may be accepted.

D. Exemptions/clarifications to PAN (*Sufficient documentary evidence in support of such claims to be collected.)

- 1. In case of transactions undertaken on behalf of Central Government and/or State Government and by officials appointed by Courts e.g. Official liquidator, Court receiver etc.
- 2. Investors residing in the state of Sikkim.
- 3. UN entities/multilateral agencies exempt from paying taxes/filing tax returns in India.
- 4. SIP of Mutual Funds upto Rs 50,000/- p.a.
- 5. In case of institutional clients, namely, FIIs, MFs, VCFs, FVCIs, Scheduled Commercial Banks, Multilateral and Bilateral Development Financial Institutions, State Industrial Development Corporations, Insurance Companies registered with IRDA and Public Financial Institution as defined under section 4A of the Companies Act, 1956, Custodians shall verify the PAN card details with the original PAN card and provide duly certified copies of such verified PAN details to the intermediary.

E. List of people authorized to attest the documents:

- 1. Notary Public, Gazetted Officer, Manager of a Scheduled Commercial/Co-operative Bank or Multinational Foreign Banks (Name, Designation & Seal should be affixed on the copy).
- 2. In case of NRIs, authorized officials of overseas branches of Scheduled Commercial Banks registered in India, Notary Public, Court Magistrate, Judge, Indian Embassy/Consulate General in the country where the client resides are permitted to attest the documents.

F. In case of Non-Individuals, additional documents to be obtained from non-individuals, over & above the POI & POA, as mentioned below:

Types of entity	Documentary requirements
Corporate	<ul style="list-style-type: none">• Copy of the balance sheets for the last 2 financial years (to be submitted every year)- in case newly formed company, need net worth certificate from chartered accountant.• Copy of latest share holding pattern including list of all those holding control, either directly or indirectly, in the company in terms of SEBI takeover Regulations, duly certified by the company secretary/Whole time director/MD (to be submitted every year).• Photograph, POI, POA, PAN and DIN numbers of whole time directors/two directors in charge of day to day operations.• Photograph, POI, POA, PAN of individual promoters holding control - either directly or indirectly.• Copies of the Memorandum and Articles of Association and certificate of incorporation.• Copy of the Board Resolution for investment in securities market.• Authorised signatories list with specimen signatures• Aadhar of directors & authorized signatories.• Declaration of ultimate beneficiary owner of the company
Partnership firm	<ul style="list-style-type: none">• Copy of the balance sheets for the last 2 financial years (to be submitted every year).• Certificate of registration (for registered partnership firms only).• Copy of partnership deed.• Authorised signatories list with specimen signatures.• Photograph, POI, POA, PAN of Partners.• Aadhar copy of all the partners.
Trust	<ul style="list-style-type: none">• Copy of the balance sheets for the last 2 financial years (to be submitted every year).• Certificate of registration (for registered trust only).• Copy of Trust deed.• List of trustees certified by managing trustees/CA.• Photograph, POI, POA, PAN of Trustees.• Aadhar of all the trustee.
HUF	<ul style="list-style-type: none">• PAN of HUF.• Deed of declaration of HUF/ List of coparceners.• Bank pass-book/bank statement in the name of HUF.• Photograph, POI, POA, PAN of Karta, Aadhar of Karta.
Unincorporated association or a body of individuals	<ul style="list-style-type: none">• Proof of Existence/Constitution document.• Resolution of the managing body & Power of Attorney granted to transact business on its behalf.• Authorized signatories list with specimen signatures.• Aadhar of authorized signatories.
Banks/Institutional Investor	<ul style="list-style-type: none">• Copy of the constitution/registration or annual report/balance sheet for the last 2 financial years.• Authorized signatories list with specimen signatures.
Foreign Institutional Investors (FII)	<ul style="list-style-type: none">• Copy of SEBI registration certificate.• Authorized signatories list with specimen signatures.
Army/ Government Bodies	<ul style="list-style-type: none">• Self-certification on letterhead.• Authorized signatories list with specimen signatures.
Registered Society	<ul style="list-style-type: none">• Copy of Registration Certificate under Societies Registration Act.• List of Managing Committee members.• Committee resolution for persons authorised to act as authorised signatories with specimen signatures.• True copy of Society Rules and Bye Laws certified by the Chairman/Secretary.• Aadhar of managing committee members

Note: In case of any correction in the form - Sign next to the correction done & Sign has to match the original signature

ANNEXURE

Details of Promoters/ Partners/ Karta / Trustees and whole time directors forming a part of Know Your Client (KYC) Application Form for Non-Individuals

Name of Applicant

PAN of the Applicant

Sr. No.	PAN and Aadhaar	Name	DIN (For Directors)/ UID (For Others)	Residential / Registered Address	Relationship with Applicant (i.e. promoters, whole time directors etc.)	Whether Politically Exposed	Photograph
						<div><input type="checkbox"/> PEP</div> <div><input type="checkbox"/> RPEP</div> <div><input type="checkbox"/> NO</div>	
						<div><input type="checkbox"/> PEP</div> <div><input type="checkbox"/> RPEP</div> <div><input type="checkbox"/> NO</div>	
						<div><input type="checkbox"/> PEP</div> <div><input type="checkbox"/> RPEP</div> <div><input type="checkbox"/> NO</div>	

ANNEXURE

Details of Promoters/ Partners/ Karta / Trustees and whole time directors forming a part of Know Your Client (KYC) Application Form for Non-Individuals

	PAN of the Applicant
Name of Applicant	

Sr. No.	PAN and Aadhaar	Name	DIN (For Directors)/ UID (For Others)	Residential / Registered Address	Relationship with Applicant (i.e. promoters, whole time directors etc.)	Whether Politically Exposed	Photograph
						<div><input type="checkbox"/> PEP</div> <div><input type="checkbox"/> RPEP</div> <div><input type="checkbox"/> NO</div>	
						<div><input type="checkbox"/> PEP</div> <div><input type="checkbox"/> RPEP</div> <div><input type="checkbox"/> NO</div>	
						<div><input type="checkbox"/> PEP</div> <div><input type="checkbox"/> RPEP</div> <div><input type="checkbox"/> NO</div>	

TRADING & DEMAT ACCOUNT RELATED DETAILS									
TYPE OF ACCOUNT (Please tick whichever is applicable)									
Status					Sub - Status				
Body Corporate		Banks		Trust		Mutual Fund		OCB	
FII		CM		FI		Clearing House		Others (Specify)_____	
SEBI Registration No. (If Applicable)					SEBI Registration Date D D M M Y Y Y Y				
RBI Registration No. (If Applicable)					RBI Approval Date D D M M Y Y Y Y				
Nationality		Indian		Others (Specify)_____					
CLEARING MEMBERS DETAILS (To be filled by CMs only)									
Name of Stock Exchange									
Name of the CC / CH									
Trading ID					Clearing Member ID				
A. BANK ACCOUNT DETAILS - 1									
Bank Name & Branch Location:									
Account No.					<input type="checkbox"/> Savings		<input type="checkbox"/> Current		
MICR Code					IFSC Code				
B. BANK ACCOUNT DETAILS - 2									
Bank Name & Branch Location:									
Account No.					<input type="checkbox"/> Savings		<input type="checkbox"/> Current		
MICR Code					IFSC Code				
C. DEPOSITORY ACCOUNT DETAILS (DP1 DETAILS CONSIDERED FOR PAYOUT OF SECURITIES IF FILLED)									
	DP 1 Details				DP 2 Details				
Depository	<input checked="" type="checkbox"/> CDSL				<input type="checkbox"/> CDSL		<input type="checkbox"/> NSDL		Require CMC copy
DP Name	Angel One Ltd								
Client Name									
DP ID	12033200								
BO ID									
D. COMTRACK ACCOUNT DETAILS - Require CMC copy if other than Angel account.									
CP Name									
Client Name									
CP ID					CMSE ID				
Note: Provide a copy of either Demat Master or a recent holding statement issued by CP bearing name of the client.									
E. COMRIS ACCOUNT DETAILS									
CP Name									
Client Name									
CP ID					CMSE ID				
Note: Provide a copy of either Demat Master or a recent holding statement issued by CP bearing name of the client.									

F. TRADING PREFERENCES

Please sign in the relevant boxes where you wish to trade. The segment not chosen should be struck off by the client

Name of the Exchanges & Segment	Signature of the Authorised Signatory with company seal
NSE / BSE - Cash / Mutual Fund	CL 3/15
NSE / BSE - F&O	CL 4/15
NSE / BSE - Currency Derivative	CL 5/15
MCX / NCDEX (Future & Options)	CL 6/15

[In case of allowing a client for trading on any other Exchange at a later date, which is not selected now, a separate consent letter is required to be obtained by the Member from client and to be kept as enclosure with this document]

G. STANDING INSTRUCTIONS / OTHER DETAILS / EMAIL-SMS ALERT / CONTRACT NOTE PREFERENCE

Contract Note/Holding & Transaction Statement including CAS/Other Documents*(For ECN in commodity segment, please refer pg. no. 18)	<input type="checkbox"/> Electronic <input type="checkbox"/> Physical
Receive Delivery Instruction Slip	<input type="checkbox"/> No <input type="checkbox"/> Yes
Share Email ID with Registrar & Transfer Agent	<input type="checkbox"/> No <input type="checkbox"/> Yes
Receive Annual Report	<input type="checkbox"/> Electronic <input type="checkbox"/> Physical <input type="checkbox"/> Both
DP Account Statement	<input type="checkbox"/> Monthly <input type="checkbox"/> Fortnightly <input type="checkbox"/> Weekly <input type="checkbox"/> As per SEBI Regulation
Declaration for Mobile Number	<input type="checkbox"/> Karta <input type="checkbox"/> Partner <input type="checkbox"/> Director <input type="checkbox"/> Trustee <input type="checkbox"/> Authorised Signatory
Declaration for Email ID	<input type="checkbox"/> Karta <input type="checkbox"/> Partner <input type="checkbox"/> Director <input type="checkbox"/> Trustee <input type="checkbox"/> Authorised Signatory <input type="checkbox"/> Do not have
Running Account Settlement	<input type="checkbox"/> Monthly <input type="checkbox"/> Quarterly
Whether you wish to avail of the facility of internet trading / wireless technology (please specify)	<input type="checkbox"/> Yes <input type="checkbox"/> No
Margin Trading Facility (Refer Rights & Obligation document)	<input type="checkbox"/> Yes <input type="checkbox"/> No

Note:

1. Dividend / Interest will be credited to bank account via ECS.

2. In case client doesn't opt for DIS booklet, it would be issued on request at any later date.

3. BO can view his ISIN balances, transactions & value portfolio online. To register for Easi please visit website www.cdslindia.com

4. *Other documents includes SEBI prescribed standard documents i.e. Rights & Obligation documents for trading and depository account, Risk Disclosure Document and Guidance Note or any other communication /document disseminated by Angel One.

5. Angel may carry out proprietary trades in addition to cliental trades.

H. DEALING THROUGH SUB-BROKERS / AUTHORISED PERSON (AP) / OTHER STOCK BROKERS		
If Yes, please specify:		
Name of Stock Broker	Name of SB/AP	
Name of Exchange	Client Code (as given by other broker)	
Details of disputes/dues pending from/to such stock broker/sub-broker:		
Whether you are a Member / Sub-broker / AP of any Exchange		<input type="checkbox"/> Yes <input type="checkbox"/> No
If yes, provide SEBI / EXCHANGES REGISTRATION NO:		Ph. Website:
I. INFORMATION FOR PREVENTION OF MONEY LAUNDERING ACT, 2002		
Experience	Number of years of Investment / Trading Experience	<input type="checkbox"/> <input type="checkbox"/>
Gross Annual Income	<input type="checkbox"/> Below 1 lac <input type="checkbox"/> 1-5 Lacs <input type="checkbox"/> 5-10 Lacs <input type="checkbox"/> 10-25 Lacs <input type="checkbox"/> >25 Lacs	
	OR Net Worth in ` (*Net worth should not be older than 1 year) as on date DD / MM / YYYY	
	Primary Source of Income (Please Specify) _____	
	Secondary Source of Income <input type="checkbox"/> Royalties <input type="checkbox"/> Bank Interest <input type="checkbox"/> Rental <input type="checkbox"/> Dividend <input type="checkbox"/> Others (Specify) _____	
Nature of Business	<input type="checkbox"/> Manufacturing <input type="checkbox"/> Services <input type="checkbox"/> Trading <input type="checkbox"/> Consultancy <input type="checkbox"/> Others_____	
Client Category Commercial participant / Noncommercial participant	<input type="checkbox"/> value chain participant <input type="checkbox"/> exporter <input type="checkbox"/> importer <input type="checkbox"/> hedger <input type="checkbox"/> financial participant <input type="checkbox"/> trader <input type="checkbox"/> arbitrager	
Is the Client Politically Exposed Person (PEP) or Related to a PEP <input type="checkbox"/> PEP <input type="checkbox"/> Related to PEP <input type="checkbox"/> Not a PEP / RPEP Whether any of your Authorised Signatories/ Promoters / Partners / Karta / Trustees & whole time Directors are any of the following, or are directly or indirectly related to any of the following: <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Civil Servant <input type="checkbox"/> Politician <input type="checkbox"/> Current or former head of state <input type="checkbox"/> Bureaucrat (Tax authorities, Foreign Services, IAS etc.) <input type="checkbox"/> Current or former MP, MLA, MLC <input type="checkbox"/> Connected to Media <input type="checkbox"/> Connected to any promoter group of company listed on any stock exchange Name of the Company _____		
J. PAST ACTIONS		
Details of any action / proceedings initiated / pending / taken by SEBI / Exchanges / any other authority against the applicant / constituent or its Partners / promoters / whole time directors /authorized persons in charge of dealing in securities / commodities during the last 3 years:		
<input type="checkbox"/> No		If yes, please specify
K. GST REGISTRATION DETAILS		
Registration No	Validity Date	Name of the State
GSTIN No:	DD / MM / YYYY	

Note: Angel may carry out proprietary trades in addition to cliental trades.

L. INTRODUCER DETAILS

Status of Introducer

☐ Sub-broker/AP

☐ Employee

☐ Existing Client

☐ Others (Specify):

Introducer Name:

SB/Emp/Client Code:

Address:

Mobile No:

Signature of the Introducer

DECLARATION

1. I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am / we are aware that I/we may be held liable for it.

2. I/We confirm having read/been explained & understood the contents of the tariff sheet and all voluntary / non-mandatory documents.

3. I/We further confirm having received, read & understood the contents of the 'Rights & Obligations' document(s), for equity, commodity & Demat 'Risk Disclosure Document, for equity, commodity Guidance note, Additional risk disclosure document for option trading and agree to abide by and be bound by the same and by equity & commodities the Bye-Laws as are in force from time to time. I/We do hereby agree to be bound by such provisions as outlined in these documents. I/We have also been informed that the standard set of documents has been displayed for Information on member's designated website i.e **www.angelone.in**

Signature of the Authorised Signatory with company seal

Date: DD / MM / YYYY

CL
7/15

Place: _____

FOR OFFICE USE ONLY

UCC Code allotted to the Client

BO ID Allotted to the client

	Documents verified with Originals	Client Interviewed By	In-Person Verification done by
Name of the Employee			
Employee Code			
Designation of the employee			
Date			
Signature			

I / We undertake that we have made the client aware of 'Policy and Procedures', tariff sheet and all the non-mandatory documents. I/We have also made the client aware of 'Rights and Obligations' document (s), RDD and Guidance Note. I/We have given/sent him a copy of all the KYC documents. I/We undertake that any change in the 'Policy and Procedures', tariff sheet and all the non-mandatory documents would be duly intimated to the clients. I/We also undertake that any change in the 'Rights and Obligations' and RDD would be made available on my/our website, if any, for the information of the clients.

For **Angel One Ltd**

Signature of the Authorised Signatory

Date: _____

broker

Place: _____

Seal/Stamp of the stock

Note: In case of any correction in the form - Sign next to the correction done & Sign has to match the original signature

Ver: 2.1

8

DETAILS OF PERSON AUTHORIZED TO DEAL IN SECURITIES ON BEHALF OF COMPANY / FIRM

Individual's Full Name

FIRST

MIDDLE

LAST

Residential Address

City/town/village

Pin Code

State

Country

Gender

Male

Female

Designation

Date of birth

DD

MM

YY

YY

Nationality

Tel

STD

TELE

NO

UID

PAN

Individual's Full Name

FIRST

MIDDLE

LAST

Residential Address

City/town/village

Pin Code

State

Country

Gender

Male

Female

Designation

Date of birth

DD

MM

YY

YY

Nationality

Tel

STD

TELE

NO

UID

PAN

Individual's Full Name

FIRST

MIDDLE

LAST

Residential Address

City/town/village

Pin Code

State

Country

Gender

Male

Female

Designation

Date of birth

DD

MM

YY

YY

Nationality

Tel

STD

TELE

NO

UID

PAN

Individual's Full Name

FIRST

MIDDLE

LAST

Residential Address

City/town/village

Pin Code

State

Country

Gender

Male

Female

Designation

Date of birth

DD

MM

YY

YY

Nationality

Tel

STD

TELE

NO

UID

PAN

(1)

Recent Passport Size Photograph Only

Sign across

Name of Authorized person

Signature(s) along with seal

(2)

Recent Passport Size Photograph Only

Sign across

Name of Authorized person

Signature(s) along with seal

(3)

Recent Passport Size Photograph Only

Sign across

Name of Authorized person

Signature(s) along with seal

(4)

Recent Passport Size Photograph Only

Sign across

Name of Authorized person

Signature(s) along with seal

EQUITY BROKERAGE DETAILS								
Product	Delivery	Equity Intraday/ Futures (Each Leg)	Min Brokerage (Rs. Per Share)	Options (Per Lot) Each Leg			Currency Futures (Each Leg)	DP AMC Waivers
				Nifty	Others	Currency		
ANGEL ELITE		<input type="checkbox"/>	MARGIN > = 1,00,000		MARGIN COLLECTED:			
Online Trade	0.128%	0.0128%	0.0128	24/-	48/-	3.2/-	0.008%	For 1st 3 Years
Offline Trade	0.160%	0.0160%	0.0160	30/-	60/-	4/-	0.010%	
ANGEL PREMIER		<input type="checkbox"/>	MARGIN - 50,000 to 99,999		MARGIN COLLECTED:			
Online Trade	0.176%	0.0176%	0.0176	32/-	64/-	4.8/-	0.0088%	For 1st & 2nd Year
Offline Trade	0.220%	0.0220%	0.0220	40/-	80/-	6/-	0.011%	
ANGEL PREFERRED		<input type="checkbox"/>	MARGIN - 25,000 to 49,999		MARGIN COLLECTED:			
Online Trade	0.224%	0.0224%	0.0224	36/-	72/-	5.6/-	0.0112%	For 1st Year
Offline Trade	0.280%	0.0280%	0.0280	45/-	90/-	7/-	0.014%	
ANGEL CLASSIC		<input type="checkbox"/>	MARGIN - 10,000 to 24,999		MARGIN COLLECTED:			
Online Trade	0.32%	0.032%	0.032	40/-	80/-	6.4/-	0.016%	NA
Offline Trade	0.40%	0.040%	0.040	50/-	100/-	8/-	0.020%	
COMMODITY BROKERAGE DETAILS								
Product		Delivery		Intraday (Each Leg)				
ANGEL ELITE		<input type="checkbox"/>	MARGIN > = 1,00,000		MARGIN COLLECTED:			
Online Trade		NA		0.0088%		250		
Offline Trade		0.30%		0.011%				
Minimum brokerage of 0.011 paisa per script for intraday								
ANGEL PREMIER		<input type="checkbox"/>	MARGIN - 50,000 to 99,999		MARGIN COLLECTED:			
Online Trade		NA		0.012%		250		
Offline Trade		0.30%		0.015%				
Minimum brokerage of 0.015 paisa per script for intraday								
ANGEL PREFERRED		<input type="checkbox"/>	MARGIN - 25,000 to 49,999		MARGIN COLLECTED:			
Online Trade		NA		0.0152%		250		
Offline Trade		0.30%		0.019%				
Minimum brokerage of 0.019 paisa per script for intraday								
ANGEL CLASSIC		<input type="checkbox"/>	MARGIN - 10,000 to 24,999		MARGIN COLLECTED:			OPTIONS
Online Trade		NA		0.0184%		250		
Offline Trade		0.30%		0.023%				
Minimum brokerage of 0.023 paisa per script for intraday								
<div>Signature of the Authorised Signatory with company seal</div> <div><div>CL</div><div>8/15</div></div>								
P. T. O.								

CHARGES FOR DEPOSITORY SERVICES			
Charges Type	■ Annual Maintenance Charge (AMC)	■ Life Time AMC	■ Free Life Time AMC
DP AMC Charges	Rs. 450 (+ Rs. 500 CDSL Charges for corporate account) + GST	Onetime payment of Rs. 2500 + GST	Margin money of Rs. 3,00,000/- and above * free AMC activation subject to credit
Franking charges	Rs. 50/-		
Debit transaction/Pledge creation & Pledge closure/ Debit transaction of client shares from Angel beneficiary and Angel collateral account.	Rs. 20 + GST per ISIN		
Dematerialization	Rs. 20 + GST per certificate and Rs.30/- per DRF for Postage Charges Plus Rs.30/- per rejection		
Rematerialization	Actual CDSL Charges + Rs. 30/- per RRF request for postage charges		
Additional DIS Request	Rs. 25.00 per booklet		
Additional Statement	Rs. 25.00 per request		
<p>Note:</p> <p>1. Brokerage levied to your trading account shall be the higher of brokerage value as per the existing rates or Rs. 30/- per settlement / segment, subject to the maximum rates prescribed by the regulator(s) from time to time.</p> <p>2. Inter-Settlement charge towards debit transactions of client shares from Angel beneficiary & Angel collateral account, Rs. 20/- per ISIN would be applicable.</p> <p>3. KRA / CKYC charges on actual basis, on account fetching and / or registration from / with KRA / CKYC authority.</p> <p>4. Stamp duty, GST, Education cess & other statutory levies (if any) will be charged as applicable from time to time.</p> <p>5. For availing 'Easiest' facility of CDSL, The charges as levied by CDSL would be collected from clients at actuals.</p> <p>6. Delivery brokerage would be levied to all customers who has either opted for delivery or by default delivery allocated. It would be levied as per the rates specified in above table. In the absence of any rate default brokerage @ 0.30% would be levied.</p> <p>7. For Electronic Delivery through NCDEX client needs to open separate account with COMTRACK participant. Separate charges with applicable taxes if any will be debited in client's ledger account.</p> <p>8. In case of intra day transaction in Commodity contract first leg rates as above shall be charged on the buy value or sell value depending upon whichever is higher and second leg rates shall be charged vice versa.</p> <p>9. Commission of Clearing & Forwarding Agent/Commission agents, if appointed on behalf of clients for the purpose of taking of deliveries will be charged at actual.</p> <p>10. Angel One Ltd. reserves the right to revise the tariff structure from time to time at its sole discretion, under advance intimation to clients either by way of ordinary post or by an email or by SMS or by notification on the back office interface.</p> <p>11. Any amounts which are overdue from the Client in any trading segments shall be liable to interest at the rate of 1.5% per month or part thereof or such other rate as may be determined and communicated by Angel.</p>			
<p>Signature of the Authorised Signatory with company seal</p> <div><div>CL 9/15</div><div></div></div>			

BENEFICIAL OWNERSHIP DETERMINATION FORM

SEBI vide circular no. CIR/MIRSD/2/2013 dated January 24, 2013 and CIR/ISD/AML/3/2010 dated December 31, 2010 has mandated all registered intermediaries to obtain, as part of their Client Due Diligence policy, sufficient information from their clients in order to identify and verify the identity of persons who are beneficial owner. The beneficial owner has been defined in the circular as the natural person or persons, who ultimately own, control or influence a client and/or persons on whose behalf a transaction is being conducted, and includes a person who exercises ultimate effective control over a legal person or arrangement.

The following approach shall be considered while determining the Beneficial Ownership:

A. For clients other than individuals or trusts:

Where the client is a person other than an individual or trust, viz., company, partnership or unincorporated association/body of individuals, the intermediary shall identify the beneficial owners of the client and take reasonable measures to verify the identity of such persons, through the following information:

- a. The identity of the natural person, who, whether acting alone or together, or through one or more juridical person, exercises control through ownership or who ultimately has a controlling ownership interest.

Explanation: Controlling ownership interest means ownership of/entitlement to: i. More than 25% of shares or capital or profits of the juridical person, where the juridical person is a company; ii. More than 15% of the capital or profits of the juridical person, where the juridical person is a partnership; or iii. More than 15% of the property or capital or profits of the

juridical person, where the juridical person is an unincorporated association or body of individuals.

- b. In cases where there exists doubt under clause A(a) above as to whether the person with the controlling ownership interest is the beneficial owner or where no natural person exerts control through ownership interests, the identity of the natural person exercising control over the juridical person through other means.

Explanation: Control through other means can be exercised through voting rights, agreement, arrangements or in any other manner.

- c. Where no natural person is identified under clauses A (a) or A (b) above, the identity of the relevant natural person who holds the position of senior managing official.

B. For client which is a trust:

Where the client is a trust, the intermediary shall identify the beneficial owners of the client and take reasonable measures to verify the identity of such persons, through the identity of the settlor of the trust, the trustee, the protector, the beneficiaries with 15% or more interest in the trust and any other natural person exercising ultimate effective control over the trust through a chain of control or ownership.

C. Exemption in case of listed companies:

Where the client or the owner of the controlling interest is a company listed on a stock exchange, or is a majority-owned subsidiary of such a company, it is not necessary to identify and verify the identity of any shareholder or beneficial owner of such companies.

Accordingly the following information is required to be filled:
(Use additional sheets if required).

1. CLIENT DETAILS

Client Name F I R S T M I D D L E L A S T

Client Account No.

Name of the MD/CEO of Company

2. BENEFICIAL OWNER'S PERSONAL INFORMATION

[illegible]

3. BENEFICIAL OWNER'S EMPLOYMENT INFORMATION

[illegible]

Recent
Passport Size
Photograph
Only

Sign across

(10/15) **Authorised Signature with company Seal**

I/we certify that the information provided by me/us in this document is true and complete and I/we hereby agree to advise you immediately of any material change in the information.

Beneficial Owner/Authorised Person Signature Date

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

Witness Name F I R S T M I D D L E L A S T

Witness Signature _____ Date

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

Branch Manager Name F I R S T M I D D L E L A S T

Branch Manager Signature _____ Date

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

Note: Beneficial Owner is required to submit copy of PAN card and valid address proof alongwith the captioned form.



DECLARATION BY HUF AND CONSENT LETTER

To,
Angel One Ltd.

With regard to Beneficiary account no. (BO ID)_____ And Trading Account_____ maintained in the name & style "_____" with DP /Trading (Angel One Ltd.)

We the following family members, being the co-parceners in the HUF account M/s _____ do hereby give our consent that the said Karta, viz _____ would operate above mentioned BO ID /Trading account as far as shares transactions of the HUF account is concerned.

We further declare and authorize you to recognize the beneficiary account No. _____ with depository _____ opened in the name of the undersigned who is the Karta of the HUF for the purpose of completing the share transfer obligations pursuant to the trading operations. I agree and understand that this is to facilitate the operation of the above trading account. The transfer made by you to the beneficiary account shall be complete discharge of obligations by you in respect of trades executed in the above trading account.

Details of our HUF and all its co-parceners are stated as mentioned below:

Sr.No	Name of Family Member	Date of Birth (DD MM YYYY)	Gender	Relationship with Karta	Signature

* In case of Minor- Karta will sign the declaration

I, hereby state that details mentioned above are true and any change in them would be intimated to you in writing.

Title of HUF/ Karta _____

Signature of Karta

(HUF Rubber stamp)



Date:_____

To,
Angel One Ltd.
G-1, Ackruti Trade Centre,
Road No. 7, MIDC, Andheri (E),
Mumbai - 400 093.

Dear Sir,

This is with reference to the trading account opened with you in the name of _____a partnership firm and bearing the code _____. We the undersigned partners of the above mentioned firm hereby declare and authorize you to recognize the beneficiary account No. _____ with depository _____,which belongs to one of our partner for the purpose of completing the share transfer obligations pursuant to the trading participant in the name of partnership firm as per regulations and that transfers made by you to the beneficiary account as complete discharge of obligations by you in respect of trades executed in the above trading account firm.

We further confirm that the authorised person can jointly / severally issue instruction to you as our broker for securities trades, fund, etc. and to do all such acts, deals and things as may be necessary for the purpose of operating this account.


Signature (Please sign with stamp of the partnership firm)

We, (Please write name of the partners)


- 1._____4._____
- 2._____5._____
- 3._____6._____

Partners of the firm confirm that any securities due to the Firm's trading account with you, if transferred to the above mentioned Demat account, will constitute good delivery of the obligation. We further state that Angel will not be responsible, if the shares are transferred to the abovementioned a/c.


If there is any change in the information given above, same shall be informed to Angel in writing. If any such information not communicate to Angel, that Angel will not be liable for losses suffered by the firm or any of the individual partners.

Signature 


1. Name

Signature 


2. Name

Signature 


3. Name

Signature 


4. Name

Signature 


5. Name

Signature 


6. Name

Signature 

7. Name

Signature 

8. Name

Signature 

9. Name

BOARD RESOLUTION (Trading / Demat)
(On the Company letterhead)

CERTIFIED TRUE COPY OF RESOLUTION PASSED AT THE MEETING OF THE BOARD OF DIRECTORS OF
_____HELD AT THE REGISTERED OFFICE OF THE COMPANY
AT _____ON _____

“RESOLVED THAT subject to the provisions of memorandum and article of association, the Company do hereby open a demat and trading account for dealing in securities in cash segment and/or derivative Segment of BSE Ltd and / or NSEIL and / or Future & Option segment of MCX and /or NCDEX with ANGEL BROKING LIMITED in the name and style of

Name of the Company

RESOLVED FURTHER THAT the company do hereby consent to avail margin trading facility on the BSE Ltd and / or NSEIL in accordance with the terms and conditions of Margin Trading Facility prescribed by Angel One Ltd, rights and obligations issued by exchanges, provisions of circular issued by SEBI / exchanges etc and their amendments thereof.

RESOLVED FURTHER THAT the company do hereby agree to receive information relating to confirmation of orders/trades, margin calls, decision to liquidate the position / security etc by email / SMS on their registered email Id and mobile number.

RESOLVED FURTHER THAT Mr. _____, Mr. _____, Mr. _____ Directors and Mr. _____ Mr. _____ the Authorized Signatories of the Company be and is hereby jointly and severally authorized to negotiate and finalize the terms and conditions for opening of account and sign and execute necessary forms as might be required for the purpose of opening the trading and / or Beneficiary account /s as aforesaid and are further authorized to operate the said account for and on behalf of the Company.

RESOLVED FURTHER THAT the company do hereby execute in favor of Angel One Ltd., a Power of Attorney to enable Angel One Ltd. to meet settlement / margin obligation and authorize Mr. _____ Mr. _____ Mr. _____ are Director / Authorized Signatories of the company to execute, notarize and deliver to Angel One Ltd., the said Power of Attorney and to do all other things as may be necessary in this connection.

FURTHER RESOLVED THAT a certified copy of the said resolution be communicated to ANGEL BROKING LTD. together with the specimen signatures of all the aforesaid official's by the Chairman of the meeting / company”.

Place:

CERTIFIED TRUE COPY

Date:

For _____ Ltd

Signatory

SPECIMEN SIGNATURES

NAME

SIGNATURE

1. Mr. _____

2. Mr. _____

3. Mr. _____

PLEASE TICK WHICHEVER IS APPLICABLE

☐ A. CLIENT DECLARATION - OPEN INTEREST POSITION

I/We, the undersigned, have taken cognizance of circulars issued by SEBI / Commodity Exchanges from time to time on the guidelines for calculation of net open positions permitted in any commodity and I/we hereby undertake to comply with the same. I/ We hereby declare and undertake that I/ we will not exceed the position limits prescribed from time to time by Commodity Exchanges or SEBI and such position limits will be calculated in accordance with the circulars on position limits as modified from time to time. I/We undertake to inform you and keep you informed if I / any of our partners / directors I karta I trustee or any of the partnership firms/companies / HUFs / Trusts in which I or any of above such

person is a partner / director I karta I trustee, takes or holds any position in any commodity forward contract I commodity derivative on Exchanges through or through any other member (s) or Exchanges, to enable you to restrict our position limit as prescribed by the above referred circular of Exchanges as modified from time to time. I / We confirm that you have agreed to enter order in commodity forward contracts I commodity derivatives for me / us as your clients on Commodity Exchanges only on the basis of our above assurances and undertaking. I/We further undertake to bear any liable/penalty/charges levied by Commodity Exchanges/SEBI.

☐ B. CONSENT TO INSTRUCTION TO MAINTAIN RUNNING ACCOUNT (VOLUNTARY)

I / We request you to maintain my/our accounts for funds and securities on running accounts basis instead of 'bill to bill' settlement basis, unless I/we specifically request you for a payout of available free funds or securities in the account. You may settle the accounts at Monthly/Quarterly (as opted in the account opening form) or at such other intervals as SEBI/ Exchanges may specify from time to time. I / We further authorize you to retain securities and/or funds as may be permitted by Exchanges/SEBI from time to time or towards other unbilled services and/or charges applicable on my account, while settling the accounts. I/We further authorize you to also retain an amount of up to Rs.

10,000/- (Ten Thousand Only) for equity segment & Rs. 50,000/- (Fifty Thousand Only) for commodities segment or any other sum as may be permitted by SEBI / Exchanges from time to time, while settling my / our account. I / We understand and agree that no interest will be payable on the amount of funds retained by you as above. I/ We agree that Angel shall not be liable for any claim for loss or loss of profit or for any consequential, incidental, special or exemplary damages, or otherwise, caused by retention of such securities/funds. The standing instruction/authorization for maintaining my/our account as running account shall remain valid until revoked in writing, addressed to you.

☐ C. CONSENT FOR ELECTRONIC CONTRACT NOTE (ECN) - DECLARATION (VOLUNTARY)

I, _____, Client Code _____ PAN _____, Registered with you as a client of Multi Commodity Exchange of India Ltd (MCX), and/or National Commodity & Derivative Exchange Ltd (NCDEX), undertake as follows:

- I am aware that the Member has to provide physical contract note in respect of all the trades placed by me unless I myself want the same in the electronic form.
- I am aware that the Member has to provide electronic contract note for my convenience on my request only.
- Though the Member is required to deliver physical contract note, I find that it is inconvenient for me to receive physical contract notes. Therefore, I am voluntarily requesting for delivery of electronic contract note pertaining to all trades carried out/ordered by me.
- I have access to a computer and am a regular internet user, having sufficient knowledge of handling the email operations.
- My email id is _____ (the email id must be written in own handwriting). This has been created by me and not by someone else.
- I am aware that this declaration form should be in English or in any other Indian language known to me.
- I am aware that non-receipt of bounced mail notification by the member shall amount to delivery of the contract note at the above e-mail ID.

[The above declaration has been read and understood by me. I am aware of the risk involved in dispensing with the physical contract note, and do hereby take full responsibility for the same]

Verification of the client signature done by,

Authorised Signatory
of Member



Designation:

Date: DD / MM / YYYY

Signature of the Authorised Signatory
with company seal



Date: DD / MM / YYYY

Place:

VOLUNTARY TERMS AND CONDITIONS (EQUITY & COMMODITY)

Whereas the client intends to open securities / commodities trading accounts with Angel One Ltd., (hereinafter referred as Angel) for the purpose of trading in Capital Market Segment, Futures & Options and Currency Derivative Segments and Commodities Futures & Options of the National Stock Exchange of India Ltd., the Bombay Stock Exchange Ltd., the Metropolitan Stock Exchange of India Limited, Multi Commodity Exchange, National Commodity & Derivatives Exchange Limited and Mutual Fund transactions Facilities offered by Stock Exchange and whereas for the purpose of more fully and conveniently availing of the services agreed to be provided by Angel and also the additional services that may be made available by Angel from time to time, the Client, on its own free will and volition, agrees to accept and be bound by the following terms and conditions. The Client understands that these terms and conditions are voluntary i.e, non-mandatory in nature but on their acceptance, these shall constitute the contract between the parties and bind them fully and be enforceable by each party against the other.

1. Authorization to debit additional charges with regard to Trading and Demat Account:

Without prejudice to the other rights and obligations of the parties, the client understands and agrees that Angel may levy additional charges including Annual Maintenance Charges and all transaction charges with respect to Clients Demat account / Counter Demat account for any service rendered by Angel and as may be required by the Client, and recover from the Client all reasonable costs, as may be incidental or consequential for rendering the said services. The said charges will be debited to the clients ledger account with Angel One.

2. Lien: All securities, commodities funds and/or properties (Any assets available with Angel) of the Client as may be permitted by the Exchange(s) from time to time to be placed with Angel shall be subject to a lien for the payments or fulfillment of all undischarged liabilities and obligations of the Client in relation to its transactions or owing to any of the group companies of Angel. Angel shall be entitled to withhold such securities, commodities, funds and/or property of the Client as security towards any such un-discharged liabilities or obligation of the Client and to sell and/or appropriate to itself all such securities, commodities, funds or properties at its sole discretion & at any point of time, without notice to client.

3. Authorization for delivering / pledging shares: The client understands and agrees that Angel may deliver to the Exchange any securities held by it on behalf of the client to discharge settlement obligations in respect of securities sold by the client or pledge the same with the clearing house of the recognized stock exchange in any segment where the Client is registered for trading for the purpose of providing margin for the trading positions contracted or to be contracted by the Client or with any scheduled commercial bank, Non-Banking Financial Institution or other financial institution for raising funds to the extent account of the client carries debit balance but without any obligation on its part to so raise funds by pledging the securities and without prejudice to the right of Angel to enforce, at its option, the collateral security in the securities to recover the debit balance at any time.

4. Authorization for Inter segment fund balance transfer and stock transfers: The client hereby authorizes Angel to transfer its debit/credit balances in the ledger account arising during the course of trades in any segment including commodities segment to its ledger account in any other segment including commodities segment or to transfer any stock purchased/lying in its account in any segment including commodities segment to its account in any

other segment as often as may be required. The transfers may be completed by passing journal entries in the books of Angel.

5. Disclaimer: The Client understands and agrees that neither Angel nor any other party disseminating any market data, message or information through the Website of Angel or in any other media shall be liable for:

(a) Any inaccuracy, error, omission or delay in the transmission or delivery of any such data, information or message, or

(b) **Any loss or damage arising from or occasioned by** (i) Any such inaccuracy, error, delay or omission, (ii) Nonperformance, or (iii) Interruption in making available any such data, information or message, due to either any act or omission by Angel or any disseminating party or to any "force majeure" (e.g. flood, extraordinary weather condition, earthquake or other act of nature, fire, war, insurrection, riot, labour dispute/unrest, accident, action of government, communications or power failure, equipment or software malfunction) or any other cause beyond the reasonable control of Angel or any disseminating party.

6. Manner/Mode of placing orders/instructions & Nonexecution/delay/cancellation of Orders: The Client may communicate orders and other instructions to Angel or the subbroker/ authorised person as the case may be over phone at the designated contact telephone number, or in writing, or through designated email, or by personally visiting the designated office. The client hereby agrees that Angel or the Exchanges shall not be liable for non execution or partial execution of any orders caused due to suspension, interruption, or malfunctioning of the online as well as offline trading services, disruptions or congestion of communication network, hardware or software problems, or failure of the electronic trading beyond the control of Angel or the Exchange.

7. Client not to act on representations of agents, employees: Client is aware that Angel has not authorized any agents, representatives, employees or other persons to make any representation, or to give any promise, assurance, warranty, undertaking or commitment as to return on investment of the Client whether in writing or otherwise on behalf of Angel.

8. Recording of Conversation: The client is aware and agrees that Angel may tape record the conversation between the client/client's representative and Angel, whether over the telephone or in person, as per applicable laws, rules and regulations of SEBI / Exchanges. Angel may produce before competent authorities, voluntarily or on such production being required by such authorities, recorded conversation or transcript thereof or both as valid evidence of the content of the conversation so recorded.

9. Confidentiality of Client Details: Angel may disclose the client information to any person /entity as required under the law or to any broker's Association or organisations in case of dispute in order to take informed decision. The Client hereby agrees and give its consents for the disclosure by Angel to any person or entity including but not limited to any independent third parties or any entities of Angel Group, whether within or outside India, of any information and data relating to Client or relating to Client's trading account with Angel for the purposes of or in connection with, any present or proposed initiatives, including but not limited to any marketing or cross sell initiatives, business proposals, activities, facilities or services availed of or to be availed, by Client in future.

10. Disclosure as to Proprietary Trades by Angel: Angel may carry out proprietary trades in addition to trades on behalf of its Clients.

11. Severance: In case any one or more of the terms and conditions contained in this document become invalid, illegal or unenforceable in any respect under any applicable law, the validity, legality and enforceability of the remaining provisions contained herein shall not in any way be affected or impaired thereby.

12. No Waiver: No forbearance, relaxation or inaction by any party to require from the other performance or discharge of any obligation to be performed or discharged by the other under this document shall in any way affect, diminish, or prejudice the right of such party to require of the other party at any time such performance or discharge, or performance or discharge of any other obligations under this document or be considered to be a waiver of any rights, unless the waiver is specifically agreed in writing.

13. Notices: All notices or communications issued by Angel shall be served on the Client in any one or more or all of the following ways at the ordinary business address and/or ordinary place of residence and/or last known address of the client:

13.1 (a) By ordinary post or (b) By registered post or (c) By express delivery post or (d) by SMS on registered mobile or by telephonic call or (e) By affixing it on the door at the last known business or residential address or (f) By oral communication to the party or on the last known telephone number or on the recording machine of such number or (g) By advertising it in at least one prominent daily newspaper having circulation in the area where the last known business or residential address of the client is situated or (h) By publishing it on the website of Angel wherein secured log-id and password to Client is provided or (i) By a notice posted on the notice board of the Exchange if no address be known or (j) By electronic mail or fax or (k) By hand delivery or By Courier or any other approved mode as may be allowed for communication.

13.2 Notwithstanding anything stated above, communication relating to orders, margins, maintenance calls and other similar matters in the ordinary course of dealings between Angel and the Client may be made orally.

14. Electronic Contract Note (ECN):

14.1. Client agree to receive contract notes in Electronic/Digital Form (ECN) authenticated by means of a digital signature in lieu of Physical Contract notes through e-mail by authorizing Angel in this connection and providing the e-mail address(es) at which the Client wishes the ECN to be sent.

14.2. The Client shall access and verify the ECN and all information contained therein, In case of discrepancy the Client, shall inform Angel either in writing or via E-mail within reasonable time of the receipt of the same. Angel shall also publish the Contract Note on the Web site www.angelone.in or on any other designated location specified by Angel from time to time. The Client will be issued a login and password by which the Client can login in to his account and view/save/print the ECN. Should the Client experience any difficulty in opening the ECN, Angel may, on advice by the Client, make the Contract Note available by any other means (e-mail, electronic mail attachment, or in the form of an available download from the back office web site or by delivery of a hard copy). Client's failure to advise Angel of such a difficulty shall amount to valid delivery and viewing of the document by the Client.

15. Electronic Transmission of other Documents: The Client who have opted for ECN agrees that Angel may transmit to the Client any statements, documents or intimation including, but not limited to, Margin Statement, Statements of Funds and Securities, margin and maintenance calls & other notices /communications document mandated by SEBI/Stock Exchange/Depository in electronic mode either at the e-mail ID designated for delivery of ECN or to the mobile number of the Client or both and, in case of non receipt of bounced mail/non delivery of SMS notification, Angel shall be deemed to have fulfilled his obligation to deliver to the Client such documents. Discrepancies if any in documents should be brought to the notice of Angel within reasonable time from issuance failing which the documents shall be deemed to be true and correct record of transactions stated therein.

16. Electronic Payment Gateway for Net Banking Services: Angel may provide on its internet trading website, without additional cost to the Client, access to Electronic Payment Gateways provided by various banks for facilitating transfer of funds from Client's bank account to the account of the Client with Angel. Client understands that Angel is only providing access to the electronic fund transfer facility provided by the banker of the Client through Angel's website by means of an interface and is not liable or responsible for the proper functioning or otherwise of the Gateway or for any transaction errors, losses, malfunctioning or hacking of the system by unscrupulous elements, frauds, and/or any incidental or consequential claims arising thereout. Client undertakes not to make Angel a party to any litigation, claim, dispute, difference or complaint that the Client may initiate in respect of, arising out of or in connection with any transactions on the Gateway and agrees that Angel's liability shall at all time be limited to the amount actually received in its account by electronic transfer from Client's account with the Bank.

17. Internet / Wireless Technology based Trading facility:

17.1. Angel offers Internet and mobile Trading facility for transaction in securities on the concerned Exchanges including facilities for online application of IPO / FPO / NFO / Bond issues or any other issues of securities or services to apply/purchase/redeem/sale/buyback or otherwise deal in the units of Mutual Funds (hereinafter referred to as "the Internet/wireless Trading system") through Exchange approved software. The Client can route its orders to Angel over the internet/mobile/laptop with data card or any other devices which use internet protocol for purchasing, selling and dealing in securities. The Client may avail of such Trading facility provided by Angel by complying with the formalities prescribed therefore.

17.2. Non-usage of Internet/Wireless Trading Facility: If the Client does not use the Internet/Wireless Trading Facility for a continuous period of 3 months or such other period as Angel may notify, the facility may be deactivated without notice and the Client shall comply with the prescribed formalities for reactivating the facility. Trades can, however, be executed at all time by placing orders off-line with the concerned branch of Angel.

17.3. The client understands and agrees that Angel has different product of the Internet Trading /Wireless Trading softwares which have been approved by the Exchanges and the client shall be allotted such product as may be chosen by him. The client also understands and agrees that depending on the trading activity of

the client, Angel shall have the exclusive right and liberty to change the product version allotted to the client and allot a different product version of the Internet Trading/Wireless Trading facility.

17.4. Orders of Client subject to review by Angel: The Client agrees that the Angel may, on being suspicious of any of the transactions, review any order placed by a Client, which may cause delays in the processing of the Client's order or may result in rejection of such order."

18. Extra Ordinary Events and termination/suspension of trading facility: Angel will not be liable for losses caused directly or indirectly by government restriction, Exchange rulings, suspension of trading, computer, communication, telephone or system failure, war, earthquakes, flood, accident, power failure, equipment or software malfunction, lack of connectivity, congestion or disruption of communication net-work or links, software glitches or corruption, low processing speed, strikes or any other conditions beyond Angel's control resulting in nonexecution, partial or incomplete execution of orders and the resulting financial loss, if any Angel may at any time terminate, discontinue or temporarily suspend trading facility provided to the Client in the event of any such extraordinary event occurring without giving prior notice to the Client.

19. Amendments to the terms and conditions: Angel reserves the right to amend the terms and conditions herein contained by adding, deleting, modifying or varying the provisions thereof by giving 15 days notice to the Client. In the event where the client has not objected to revised terms and conditions within 15 days of receiving the notification, the same shall be binding on the client.

20. Mutual Fund Service System Facility / BSE Star MF: Client is registered with Angel One and has executed Know Your Form and certain other documents for the purpose of trading in securities market on the recognized Stock Exchange (herein after referred as "Exchange"). Incase client opts for the purpose of dealing in the units of Mutual Funds Schemes permitted to be dealt with on the SEBI recognized Stock Exchanges (Mutual Fund Transaction Facilities). Know Your Client details as submitted by the client for the stock broking shall be considered for the purpose of Mutual fund transaction facilities and abide by the terms and conditions

as mentioned in the circulars as may be specified by the Exchanges from time to time in this regard. Client shall also ensure compliance with the requirements as may be specified from time to time by Securities and Exchange Board of India and Association of Mutual Funds of India (AMFI). Client shall read & understand the contents of the Scheme Information Document and Key Information Memorandum, addendum issued regarding each Mutual Fund Schemes with respect to which client choose to subscribe / redeem. Client further agree to abide by the terms and conditions, rules and regulations of the Mutual Fund Schemes. Client confirm to have read & understood the terms & conditions for using Mutual Fund transaction facility as stated in KYC handout (customer copy). Client is aware that he/she can transact directly with the AMC without recourse to Distributor's name at any time under the folio(s) tagged with the Distributor's name /broker code. The Client agrees and authorizes Angel as distributor of mutual funds to communicate on his/her behalf with the AMCs for financial and /or non-financial transactions including for receiving investment details from the AMC.

21. Parties agree that all claims, differences or disputes between them, arising out of or in relation to this mandatory and voluntary client / registration document, any dealings and transactions made subject to the Bye laws, Rules and Regulations of the Exchanges shall be submitted to arbitration for resolution in accordance with the provisions of the Arbitration and Conciliation Act, 1996 and the Byelaws and Regulations of the concerned Exchange. Provided, however, that recourse shall not be had to the arbitration, the grievance redressal mechanisms or to the investor protection schemes of the of the Exchanges or SEBI where the claims, differences or disputes exclusively arise out of or relate to any contracts entered into; transactions carried out; schemes, leagues or competition joined; other arrangements or understandings reached or relations established by the client with a group concern or associate of the Stock Broker or any third parties and to which the Byelaws, Rules and Regulations of the Exchange are not attracted and the Client understands and agrees that any application for invoking the arbitration/grievance redressal mechanisms or investor protection schemes of the Exchange in relation to any such dispute, claims or differences shall be liable to be dismissed.

ADDITIONAL - VOLUNTARY TERMS AND CONDITIONS (COMMODITY)

1. Setting up of Exposure Limits:

1.1. Angel may sanction trading limits to the Client based on the margin lying to the credit of the Client in the form of funds / securities / bank guarantees / fixed deposit receipts. Angel at its sole discretion may refuse to accept any security as collateral/margin. Angel shall from time to time publish a list of securities which would be acceptable as collateral / margin. In setting exposure limits for the Client, Angel shall be entitled to consider such factors as it may deem fit, including without limitation, the client's risk profile, risk appetite, loss bearing capacity, payment history, market volatility, risk management policy of Angel and such other factors or conditions which Angel may consider relevant for the purpose. Angel reserves liberty to vary the trading / exposure limits of the Client depending upon its risk assessment from time to time having regard to the changes in any of the factors or market conditions bearing on the risk profile of the Client.

1.2. Neither Angel, nor any affiliate of Angel nor their respective directors, officers, employees, agents shall in any circumstances be liable for any direct or indirect loss, cost, liability, expense or damage

(including without limitation all legal fees and expenses) arising from any variation or reduction of exposure or turnover limits by Angel.

2. Client to have a Mobile connection: Client agrees to have a mobile connection as a pre-condition to opening & maintaining the a/c with Angel & undertakes to notify Angel promptly whenever he/she/it obtains a new mobile connection in replacement of a mobile previously notified to Angel.

3. Aggregation of open market positions of the Client by the Exchange: Client agrees that if the Exchange directs / advise Angel to reduce the exposure of the Client in any outstanding open interest in any contract, because the market exposure of the client in the contract, individually, or taken together with that of related parties, exceeds the maximum allowable open position prescribed by the Exchange for an individual client or for all clients of Angel in the contract, Angel may square off the open market positions of the client in the contract as directed by the Exchange. client also agrees that, though Angel may on a best effort basis inform the client of the direction given by the Exchange, it

is under no obligation to inform the client and/or obtain client's consent prior to squaring off the positions under this clause and all losses, if any, arising from such squaring off shall be to the account of the client.

4. Payment of Full Contract Value: Client agrees to pay Angel for all open buy positions, excepting both side option contract positions, full contract value less the free surplus fund of the client, if any, already with Angel, one day prior to the commencement of the tender period for the respective contract, and if that day is a bank holiday, on the day immediately preceding that day which is bank working day. If the Client makes a default in making payment as

above, Angel at its discretion may square off all or part of the open positions of the Client and all and any losses arising thereout shall be to the account of the client.

5. Execute / Self / Wash / Match trades: Orders of buy and sell placed at such rates and within such time intervals / frequencies, and particularly in contracts considered illiquid, may be rejected or cancelled by Angel without prejudice to its other rights to impose penalty on the client and/or deregister the client. Angel may also report such instances to the Exchange or the Regulator for appropriate action at their end.

I/We hereby state & confirm that I have read & understood the terms & conditions as mentioned above & agree to abide by the same.
For Angel One Ltd.

Authorised Signatory: _____

Designation:

Date: DD / MM / YYYY

**Signature of the Authorised Signatory
with company seal**



RIGHTS AND OBLIGATIONS RELATING TO MARGIN TRADING FACILITY PROVIDED TO CLIENTS (VOLUNTARY)

1. Angel is permitted to extend MTF to the clients on such terms and conditions as specified by the Stock Exchanges / SEBI from time to time and as mutually agreed by and between Angel and the Clients. This Rights and Obligation comprises the terms and conditions applicable to MTF and Angel and clients shall abide by the same and any other requirements of the margin trading framework, including other rights and obligations, if any, prescribed by the Stock Exchange/ SEBI/ Angel from time to time. Any modifications to the terms and conditions, other than those prescribed by SEBI/Stock Exchanges, shall be intimated to the Clients giving 15 days notice in advance.
2. Equity Shares that are classified as 'Group I Security' by SEBI only shall be eligible for MTF. Angel, at its discretion, may not provide funding under MTF to certain equity shares though classified to be "Group I Security" by SEBI. Equity shares shortlisted by Angel for funding (Approved List) shall be as displayed on Angel's trading website from time to time.
3. Initial margin, increased margin, margin shortage, margin calls, maximum allowable exposure, maximum stock specific exposure, trade confirmation, square off intimation and such other information in relation to MTF shall be communicated to the Clients electronically through one or many or all of the following modes, viz email, SMS, WhatsApp, mobile notifications, and additionally through telephone calls.
4. In order to avail of margin facility, the minimum initial margin required to be provided by the Clients, as prescribed by SEBI/Stock Exchanges, is as under:

VaR + 3 times of applicable ELM in case of F & O Stocks (i.e., stocks available for trading in the F&O Segment.

VaR + 5 times of applicable ELM in case of stocks other than F & O Stocks.

VaR and ELM shall mean VaR and ELM as applicable to respective stocks in the cash segment.
5. Client shall be required to provide the minimum initial margin as applicable for a particular stock to buy that stock under MTF. The margin shall never be lower than that prescribed by the Stock Exchange/SEBI. However, Angel shall have the right to demand a higher initial margin than the margin prescribed by SEBI/Stock Exchanges.
6. Subject to the initial margin as aforesaid, Angel may, at its sole and absolute discretion, revise and increase from time to time the margin required for any stock permitted to be traded under MTF. Where client has exposure in the stock in respect of which margin has been revised but does not already have sufficient credit in the account to meet increase in margin, Client shall pay margin found short within the time prescribed for making margin payment.
7. Applicable minimum initial margin, increased margin, margin shortfall, if any, can be paid in the form of cash, cash equivalent, or Group I equity shares with appropriate hair cut as specified in SEBI Master Circular No. SEBI/HO/MRD/DP/CIR/P/2016/135, DTD. 6/12/2016. Client shall have the right to change collateral securities provided under the MTF with other collateral securities provided that such other collateral securities are approved and sufficient to meet the margin required.
8. Margin requirement on shares purchased under MTF shall be computed by grossing applicable margin i.e., minimum initial margin plus increased margin, if any, on each stock and shortage computed accordingly by deducting available margin from gross margin. Collateral shares and shares purchased under MTF (Funded Shares) shall be marked to market daily for the purpose of computing the margin/shortage of margin.
9. Applicable minimum initial margin and increased margin, if any, shall be kept supplied at all times by the clients in respect of the stocks purchased under the MTF. Client shall pay any shortage in the required margin immediately on receiving demand (margin call) and in any case not later than 11.00 P.M on the trade day following the day of making the margin call (prescribed time) failing which Angel shall be at liberty to liquidate the funded shares and/or collateral shares to recover the dues outstanding in the account of the Clients. In case of extreme volatility in the market, Angel may demand payment of margin forthwith and prescribed time for making margin payment shall be construed accordingly. Decision of Angel in relation to market volatility shall be final and binding without Angel having to provide any reason for the decision to the Client.
10. If required margin is not provided within the prescribed time, Client shall be treated as client in margin default. Angel shall not be obliged to notify the client in margin default of the liquidation of shares, ahead of liquidation. Angel shall not be obliged to liquidate shares proportionate to the shortage in margin.
11. Client in margin default shall continue to be in margin default, until the required margin is furnished in full to eliminate the shortage. Partial payment of margin or a change in the required margin shall not extend the time stipulated for making margin payment which will run from the time of making margin call to the Client.
12. In case margin is reduced by an amount equal to applicable ELM component of the total margin due to market volatility within a trading day (i.e., available margin becomes equal to or less than applicable VAR margin), Angel reserves the right to liquidate the collaterals and/or funded shares forthwith without prior notice to the client.
13. MTF Clients purchasing shares not specified in Angel Approved List of Group 1 securities shall be required to 100% margin upfront for such purchases.
14. If any shares are delisted from Angel Approved List, Client shall be required to make payment of full purchase consideration against such shares on receiving margin call within the prescribed time, failing which Angel shall be at liberty sell such shares without further notice to the Client.
15. If a client is debarred by orders of lawful authority from trading in the securities market, Angel shall liquidate collateral and funded shares of the client to recover its dues to the full extent forthwith.
16. In case of death of a client, Angel shall be entitled to liquidate the collateral and funded shares under MTF and recover the unpaid outstanding due.
17. Any loss arising from liquidation of the shares shall be account of the Client. Client shall forthwith pay Angel any unpaid dues outstanding in the account after liquidation of the shares.

18. Angel reserves the right to withdraw MTF with respect to any Client without assigning any reason after giving a reasonable notice to the Client in which case dues if any outstanding in the account of the Client shall become payable immediately. Failure to make payment of the outstanding dues shall result in liquidation of collateral and/or funded shares held in Client's account.
19. Client may terminate the MTF account after paying all dues in the MTF account.
20. Angel shall not use the funds and securities of one client to provide MTF to another client, even on the authority of the client. provide MTF to another client, even on the authority of the client.
21. The stocks deposited as margin collateral and funded stock shall be identifiable separately and no comingling shall be permitted for the purpose of computing funding amount.
22. Angel may at its option allow client to buy further shares under MTF on the basis of increase in the value of collateral shares, subject to applicable hair cut. Further purchase shall not be permitted on the basis of increase in the market value of funded shares.
23. IPF shall not be available for transactions done on the Stock Exchange, through MTF, in case of any losses suffered in connection with the MTF availed by the client.
24. Angel shall restrict the maximum gross exposure as well as individual stock-wise exposure of a client under the MTF at any point in time according to its internal policies and market views without assigning any reasons to the client. Furnishing applicable margin shall not by itself entitle the client to seek exposure beyond the limit restricted by Angel.
25. Admitting clients for MTF shall be at the discretion of the Stock Broker/Trading Member. Clients request for admission to MTF may be disallowed without assigning any reason.
26. By agreeing to avail of MTF, the client shall be deemed to have authorized Angel to retain and/or pledge the shares purchased under MTF (funded shares) and collateral shares provided as margin till the amount due in respect of the purchase and all other dues are paid in full by the Client.
27. All outstanding dues under MTF shall carry interest @18% p.a, compounded at fortnightly rest.
28. Outstanding dues shall not be carried in the books beyond 90 days from the date of accrual and in case Client fails to pay up the dues within the said 90 days, collateral and/or funded shares shall be sold to liquidate the dues, even though applicable margin is available in the MTF account of the Client. For this purpose, 90 days shall be computed with respect to each debit entry in respect of purchases under MTF separately and liquidation shall be carried out accordingly. Angel shall have discretion to sell any stock/stocks to liquidate the outstanding dues older than 90 days.
29. Client shall be free to take delivery of the shares purchased under MTF anytime, but not later than 90 days, from the date of funding by making full payment of the outstanding dues in relation to the shares purchased.
30. Until full payment of the outstanding dues in the MTF A/c is made by the Client, collateral shares and funded shares, as far as may be required, shall be retained in the Demat A/c of Angel, separately identified as collateral shares and funded shares.
31. Daily margin statement sent to the MTF clients shall identify margin/collateral for MTF transaction separately.
32. MTF account where there is no transaction under MTF for more than 90 days shall be settled immediately on expiry of said 90 days provided there are no dues outstanding in the MTF account. Dues if any outstanding in the normal trading account shall be first adjusted against the settlement amount and the remainder shall be paid to the Client.
33. Angel shall declare and communicate to the Client risk management policies that it will follow with respect to MTF transactions. Angel may amend the policies from time to time according to its risk perceptions and inform the Clients of the amendments made.
34. Any disputes arising between the client and Angel in connection with the margin trading facility shall be resolved through the investor grievance redressal mechanism and/or arbitration mechanism of the stock exchanges as in the case of normal trades.
35. The Rights and Obligations prescribed hereinabove shall be read in conjunction with the rights and obligations as prescribed under SEBI circular No. CIR/ MIRSD/ 16/ 2011 dated August 22, 2011, SEBI Circular No. CIR/MRD/DP/54/2017 Dtd. June 13, 2017, the Circulars relating to MTF issued by the respective Stock Exchanges, any modifications thereto from time to time and the Policies and Procedures prescribed by Angel and the terms and conditions of client's agreement with Angel. In case of any inconsistencies between the Rights and Obligations herein and the provisions in the aforesaid SEBI and/or Stock Exchange Circulars, the later shall prevail to the extent of such inconsistencies

I would like to avail of Margin Trading Facility and agree to the above Rights & Obligation

**Signature of the Authorised Signatory
with company seal**



VOLUNTARY DOCUMENTS

POWER OF ATTORNEY (VOLUNTARY FOR NON - INDIVIDUAL)

(To be executed on stamp paper of Rs. 50/-)
TO ALL TO WHOM THESE PRESENTS SHALL COME We M/s_____, a sole proprietary concern with Proprietor Mr./Ms._____ and having place of business and or residing at _____
(hereinafter referred to as "the client"), send greetings; (or) M/s_____, a HUF with Mr./Ms_____ as its Karta and having its office at _____
_____(hereinafter referred to as "the client") send greetings; (or) M/s_____, a partnership firm with Mr./Mrs./Ms_____; Mr./Mrs./Ms_____and Mr./Mrs./Ms_____ as its partners (names of all partners to be given) having its office at _____
(hereinafter referred to as "the client") send greetings; (or) M/s_____ , a public/private limited company incorporated under the Companies Act, 1956 and having its registered office at _____ and corporate office at _____
(hereinafter referred to as "the client") send greetings
Whereas I/we hold a Beneficiary account no. _____ (BO-ID) with Central Depository Services (India) Limited, with Angel One Ltd. (a Depository Participant registered with CDSL) bearing DP-ID _____.
And Whereas I/we are registered as a client with Angel One Ltd. (trading member of Bombay Stock Exchange Ltd, MCX Stock Exchange Ltd. and National Stock Exchange of India Ltd) for dealing in the securities market.

NOW KNOW WE ALL AND THESE PRESENTS WITNESSTH THAT I/We THE ABOVE NAMED DO HEREBY NOMINATE, CONSTITUTE/ AND APPOINT M/s Angel One Ltd., as my/our true and lawful attorney (hereinafter referred to as the attorney) for me/us and on my/our behalf and in my/our name to do the following:

1. To debit my/our aforesaid beneficiary account and to transfer securities there-out for the purpose of delivering / pledging the same to the clearing house of the recognized stock exchange in any segment to discharge my/our settlement obligations in respect of securities sold by me/us or for the purpose of providing margins in respect of the trading positions taken up by me/us.
2. To apply for and subscribe to, on my/our instructions, Initial Public Offerings made by any company registered under the provisions of the Companies Act, 1956 through online/offline bidding platform and to perform, do, undertake, discharge all incidental and ancillary acts, deeds, matters, things, functions and obligations in connection therewith.
3. To apply, on my/our instructions, for Mutual Funds of various asset management companies through online / offline platform and to perform, do, undertake, discharge all incidental and ancillary acts, deeds, matters, things, functions and obligations in connection therewith.
4. I/we authorize my/our said Attorney to send me/us consolidated summary of scrip wise buy and sell positions, subscriptions to IPOs and Mutual Funds by way of short messaging services or e-mails on a daily basis.
5. The said Attorney shall return to me/us the Securities that may have been received by it erroneously or that it was not entitled to receive.
6. I/we do hereby ratify and confirm and agree to ratify and confirm whatsoever my/our said Attorney shall have lawfully done or may lawfully do or cause to be done by virtue of or in exercise of any power hereby granted, given authorised or implied or intended to be so granted, given or authorised and also all lawful acts, deeds, matters and things done by the said Attorney of the nature mentioned above or incidental or relating thereto or arising there-from or deemed by my/our Attorney to be requisite or expedient to be done or performed in exercise of any power herein.
7. I/we further agree and confirm that the powers and authorities conferred by this Power of Attorney shall continue to be good, valid and effective until revoked by me/us in writing given to my Attorney and that the Power of Attorney shall not be affected by lapse of time. This Power of Attorney shall continue in full force and effect until my/our Attorney shall receive written notice of revocation thereof, signed by me/us; or, in the event of termination thereof by my/our death, until my/our Attorney shall have received actual notice thereof, and such revocation or termination shall in no way affect the validity of this Power of Attorney with reference to any transactions initiated by my/our Attorney, prior to the actual receipt by the Attorney of the notice of such revocation or termination, as above provided. Further, without prejudice to the generality of the aforesaid, such revocation of this Power of Attorney, in so far

Signature of the Authorised Signatory with company seal




as any transaction, settlement of which is pending on the date of receipt of notice of revocation by my/our Attorney is concerned, shall become effective only after all pending obligations in respect of such transactions are settled on the respective settlement dates and all dues owing by me/us in connection therewith have been fully paid by me/us to the Stock Broker. Further, such revocation of the power and authority given to my/our Attorney hereby shall in no way affect the validity of any acts, deeds or things done or action taken by my/our Attorney for discharging any of my/our settlement obligations in respect of any transactions settlement of which is pending on the date of receipt of the notice of revocation by the Attorney.

8. As per SEBI Circular Dated 23rd August 2010, the list of demat A/C's where securities can move is listed as per schedule 1

Signature of the Authorised Signatory with company seal 


Signature of Co-parceners:

Name:	Signature:
Name:	Signature:
Name:	Signature:
Name:	Signature:

 Signature of Witness:

I / We accept (For Angel One Ltd.)

Name:_____

 Authorised Signatory: _____

Address:_____

Place: _____

Date: _____

Schedule 1 List of Demat Accounts			
Depository Participant Name	Demat Account No.	Exchange	Name / Type
Angel One Ltd.	1203320000000028	BSE	ABL/Client Beneficiary A/c
HDFC Bank	10003588	BSE	ABL/Pool A/c
Angel One Ltd.	1203320000006564	BSE	ABL/Pool A/c
Angel One Ltd.	1203320000000066	BSE	ABL/Client Beneficiary A/c
HDFC Bank	14216209	BSE	ABL/Client Beneficiary A/c
IL & FS	10184021	NSE	ABL/Pool A/c
Angel One Ltd.	1203320006951435	NSE	ABL/Pool A/c
Angel One Ltd.	1203320000000051	NSE	ABL/Client Beneficiary A/c
HDFC Bank	32108952	NSE	ABL/Client Beneficiary A/c
Angel One Ltd.	1203320000026363	NSE Currency	ABL/Client Beneficiary A/c
Angel One Ltd.	1203320004025849	MCX-SX	ABL/Client Beneficiary A/c
Angel One Ltd.	1203320004574264	NSE FO	ABL/Client Beneficiary A/c
HDFC Bank	16921197	NSE FO	ABL/Client Beneficiary A/c
Angel One Ltd.	1203320007561350	BSE FO	ABL/Client Beneficiary A/c
Angel One Ltd.	1203320009603460	BSE / NSE	ABL/Client Beneficiary A/c(Margin Trading)
Angel One Ltd.	1203320000006579	NSE	ABL/Pool A/c
ICICI Bank	20057525	NSE FO	ABL/Client Beneficiary A/c
ICICI Bank	20057752	NSE	ABL/Client Beneficiary A/c



Registered / Correspondence Office: G-1, Ackruti Trade Centre, Road No. 7, MIDC, Andheri (E), Mumbai - 400 093. Tel.: 91 22 2835 8800 / 3083 7700. Fax: 91 22 2835 8811. Website: www.angelone.in. CIN: U67120MH1996PTC101709

Member: Bombay Stock Exchange Ltd. / National Stock Exchange of India Ltd./ Metropolitan Stock Exchange of India Ltd. / Multi Commodity Exchange of India Ltd. / National Commodity & Derivatives Exchange Ltd. / CDSL-Depository Participant

SEBI Registration No.: INZ000161534

BSE & NSE Cash Segment

TM Code - BSE 612 **TM Code** - NSE 12798

NSE F&O Segment (Trading Member)

TM Code - NSE 12798

ICICI Bank Ltd. (Clearing Member)

SEBI Registration No.: INF231134745

CM Code - C50006

NSE Currency Derivatives Segment (Trading cum Clearing Member)

TM Code - NSE Currency 12798

BSE Currency Derivatives Segment (Trading Member)

TM Code - 612

IL&FS Securities Services Ltd. (Clearing Member)

SEBI Registration No.: INE011311532

CM Code - 807

Multi Commodity Exchange of India Ltd. (Trading cum Clearing Member)

TM Code - 12685

National Commodity & Derivatives Exchange Ltd. (Trading cum Clearing Member)

TM Code - 00220

CDSL-Depository Participant - SEBI Registration No.: IN-DP-CDSL-384-2018 | **CDSL DP ID:** 12033200

For any grievance/dispute please contact Angel One Limited at the above address or email id: support@angelbroking.com and Phone no.: 022-3355 1111 / 4281 5454. In case not satisfied with the response, please contact the concerned exchange(s): BSE Email: is@bseindia.com & Tel.: (022) 2272 8097 | NSE Email: ignse@nse.co.in & Tel.: (022) 2659 8190 | MCX Email: customersupport@mcxindia.com & Tel No: (022) 6731 8888 | NCDEX Email: askus@ncdex.com & Tel No: (022) 6640 6899

Compliance Officer Name: Ms. Namita Godbole. Tel.: 91 22 3941 3940. Email ID: compliance@angelbroking.com

CEO Name: Mr. Vinay Agrawal. Tel.: 022 - 3355 1111 / 4218 5454. Email ID: support@angelbroking.com

Also refer our website: www.angelone.in for updated details, if any.



For details: Please contact your nearest branch or call
Centralized Helpdesk at: 022 - 3355 1111 / 4281 5454
or write to support@angelbroking.com