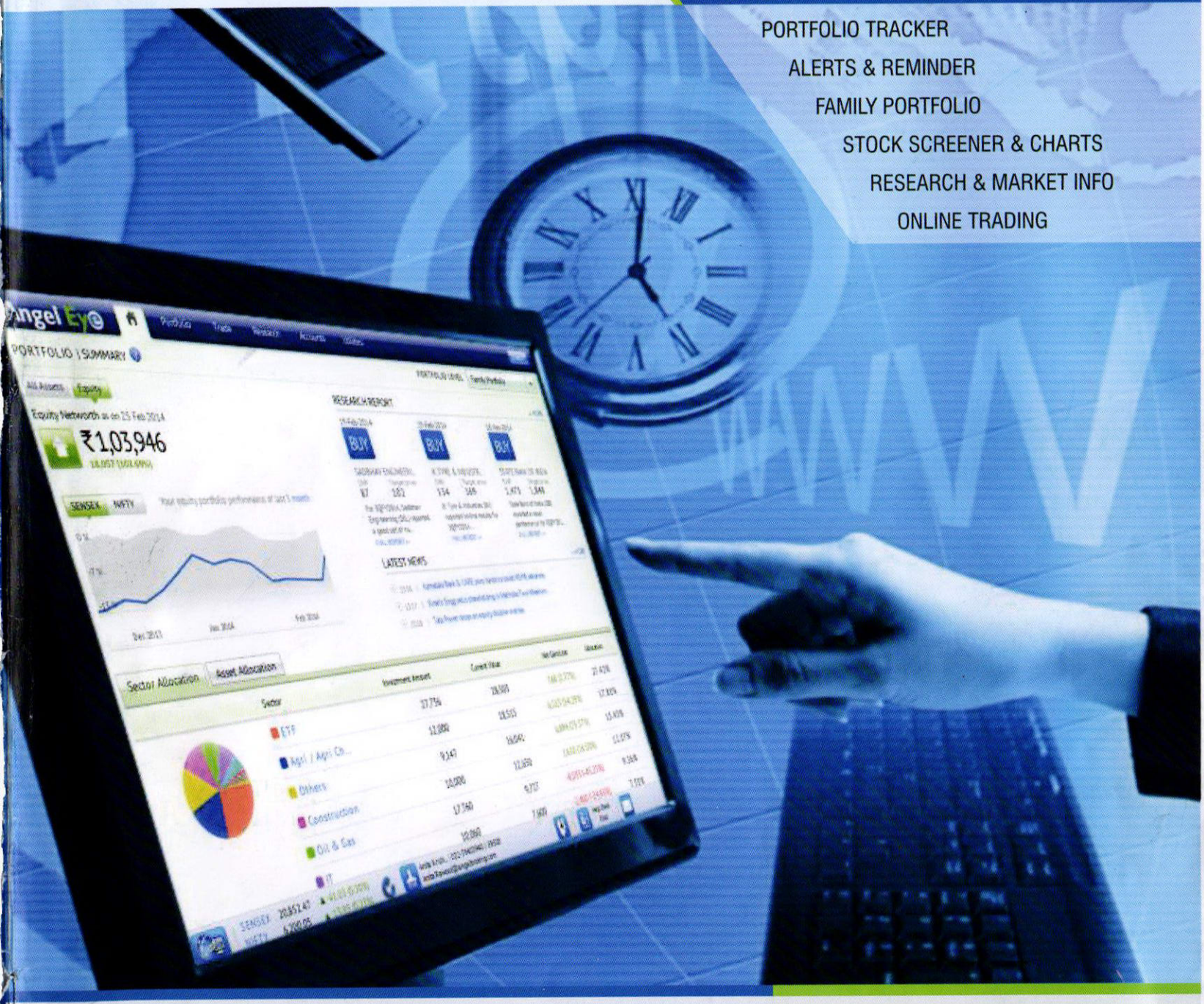
Account Opening form *for* **NON INDIVIDUAL**

Angel Eye

PORTFOLIO TRACKER
ALERTS & REMINDER
FAMILY PORTFOLIO
STOCK SCREENER & CHARTS
RESEARCH & MARKET INFO
ONLINE TRADING



EQUITY & COMMODITY

FOR CSO USE ONLY

Branch Code	
Sub-broker/AP Code	
Form No.	
Client Code	
DP ID	
Ver.: 2.1	January 2018

5142694

DEMO FORM
Partner Ship Firm
Trust
Society

unregistered

Angel Broking®
Service Truly Personalized

Full Name of Verifier: M. Sreedhar Reddy

Employee Code: E59447.

KYC Checklist

KYC Checklist

*Copies of all documents to be self attested.

I. General

- ☐ Passport size photo ☐ PAN Card
- ☐ Signed across ☐ Pan Verification
- ☐ Clients signature on all required Places
- ☐ In person verification stamp on all docs (Individuals)
- ☐ Original seen and verified stamp on all docs
- ☐ Witness Signature ☐ All Copies Self Attested
- ☐ Agreement Date ☐ Financial Documentary Proof

II. Proof of Identify

Holders: ☐ 1st holder ☐ 2nd holder ☐ 3rd holder

- ☐ PAN card with Photograph ☐ UID (Aadhaar)

Any other Supporting doc; _____

III. Proof of Permanent Address

Holders: ☐ 1st holder ☐ 2nd holder ☐ 3rd holder

- ☐ Ration Card ☐ Valid Passport
- ☐ Voter ID ☐ Valid Driving License
- ☐ Bank Passbook (Not more than 3 months old) ☐ Electricity Bill (Not more than 3 months old)
- ☐ Land line Bill (Not more than 3 months old) ☐ Bank Statement (Not more than 3 months old)
- ☐ Registered Lease or Sale Agreement ☐ Bank Verification Letter

Any other Supporting doc: _____

IV. Proof of Correspondence address

- ☐ Ration Card ☐ Valid Passport
- ☐ Voter ID ☐ Valid Driving License
- ☐ Bank Passbook ☐ Electricity Bill (Not more than 3 months old)
- ☐ Land line Bill (Not more than 2 months old) ☐ Bank Statement (Not more than 3 months old)
- ☐ Sell Agreement ☐ Leave & Licence Agreement (Not more than 3 months old)
- ☐ Bank Verification Letter

V. Bank Proof With MICR Code (HUF, NRI, Corporate)

- ☐ Cancelled cheque ☐ Bank Statement
- ☐ Pass book ☐ Letter from bank

VI. HUF

- ☐ Pan Card of Karta ☐ Address Proof of Karta
- ☐ Pan Card (HUF) ☐ Deed of Declaration of HUF
- ☐ Address proof of HUF ☐ Signature Coparcener on POA
- ☐ HUF Stamp ☐ HUF Demat Proof (Trading only)
- ☐ List of Coparceners ☐ Photograph of Karta
- ☐ Bank Pass Book in the name of HUF ☐ Bank Statement in the name of HUF

VII. Corporate

- ☐ MOA, AOA & COI ☐ Certified copy of BR
- ☐ Photo of Directors ☐ Company PAN
- ☐ Company Address Proof ☐ Form 32
- ☐ Form 18 ☐ Authorised Directors's Address Proof
- ☐ All Director's PAN ☐ IT Returns
- ☐ Last 2 Years Financials (to be Submitted every year) ☐ New Company-Network Certificate
- ☐ Copy of latest holding pattern (to be Submitted every year) ☐ PAN of Individual Promoters
- ☐ Authorised signatory list with specimen signatures ☐ PAN of Person Authorised to deal in Securities

VIII. Demat / Contrack / ComRIS Proof (Latest of 1 year - Only for Trading)

- ☐ Client Master ☐ Welcome Letter
- ☐ DP Statement
- ☐ Transaction cum Holding Statement

IX. Partnership A/c

- ☐ Identity Proof (all partners) ☐ Address Proof (all partners)
- ☐ Partnership Deed copy ☐ Signatory Letter
- ☐ PAN of Partners ☐ Photos of Partners
- ☐ Copy of Balance sheet for last 2 yrs
- ☐ Authorised signatory list with specimen signatures
- ☐ Certificate of Registration

X. Trust

- ☐ Copy of Balance sheet for last 2 yrs ☐ List of trustees certified by managing trustees / CA
- ☐ Certificate of Registration ☐ Address Proof (all trustees)
- ☐ Trust Deed copy ☐ Photos of trustees
- ☐ PAN of trustees

XI. Financial Documentary Proof

- ☐ Copy of ITR Acknowledgement
- ☐ Copy of Annual Accounts
- ☐ Copy of Form 16 in case of salary income
- ☐ Net worth certificate
- ☐ Salary Slip
- ☐ Bank account statement for last 6 months
- ☐ Copy of demat account Holding statement.
- ☐ Any other relevant documents substantiating ownership of assets.
- ☐ Self declaration along with relevant supporting

Please go through the Instruction/Checklist for filing KYC Form for further details.

Angel Broking Pvt. Ltd.
Registered/Correspondence Office: G-1, Ackruti Trade Centre, Road No. 7, MIDC, Andheri (E), Mumbai - 400 093.
Tel.: 2835 8800 / 3083 7700 Fax: 2835 8811. Website: www.angelbroking.com.

INDEX OF DOCUMENTS

Angel Broking Pvt. Ltd.

Know Your Client (KYC) | Application Form (For Non-Individuals Only)

KYC CVL

Application No. :

Please fill in ENGLISH and in BLOCK LETTERS with black ink

A. IDENTITY DETAILS (PLEASE SEE GUIDELINES OVERLEAF)

1. Name of Applicant FIRM NAME / TRUST NAME / SOCIETY NAME

PHOTOGRAPH

Please affix your recent passport size photograph of Authorised Signatory and sign across it with SEAL

2. Date of Incorporation D D M M Y Y Y Y Place of Incorporation D D M M Y Y Y Y

As per firm pan card

3. Date of commencement of business D D M M Y Y Y Y (1/19)

4. a. PAN b. Registration No. (e.g. CIN)

5. Status (Please tick any one (✓) ☐ Private Limited Co. ☐ Public Ltd. Co. ☐ Body Corporate ☐ Trust

☐ Partnership ☐ Charities ☐ NGO's ☐ FI ☐ FII ☐ HUF ☐ AOP ☐ Government Body

☐ Bank ☐ Non-Government Organization ☐ Defense Establishment ☐ BOI ☐ Society ☐ LLP

☐ Others (please specify) _____

B. ADDRESS DETAILS (PLEASE SEE GUIDELINES OVERLEAF)

1. Address for Correspondence Firm Address

City/Town/Village Pin Code

State Country

2. Contact Details: Tel (Off.) S T D T E L N O Tel (Res.) S T D T E L N O

Mobile No. Fax S T D F A X N O

Email id

3. Specify the proof of address submitted for Correspondence address: _____

4. Registered Address (if different from above)

City/Town/Village Pin Code

State Country

C. OTHER DETAILS

1. Name, PAN, residential address and photographs of Promoters/Partners/Karta/Trustees and whole time directors:

2. a) DIN of whole time directors

b) Aadhaar number of Promoters/Partners/Karta

(Please fill in the details as provided in Annexure)

DECLARATION

I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/We undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/we may be held liable for it.

Date: D D M M Y Y Y Y

Name & Signature of the Authorised Signatory (2/19)

With Seal

FOR OFFICE USE ONLY

AMC / Intermediary name OR code

✓ (Originals Verified) Self Certified Document copies

✓ (Attested) True copies of documents recieved

Seal/Stamp of the Intermediary Should contain Staff Name and Designation Name of the Officer Signature and Date

In person Verification Seal, Signature, name, Designation

Note: In case of any correction in the form - Sign next to the correction done & Sign has to match the original signature

Ver: 3.1

INSTRUCTIONS / CHECK LIST FOR FILLING KYC FORM

A. IMPORTANT POINTS:

1. Self attested copy of PAN card is mandatory for all clients.
2. Copies of all the documents submitted by the applicant should be self-attested and accompanied by originals for verification. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents, as per the below mentioned list.
3. If any proof of identity or address is in a foreign language, then translation into English is required.
4. Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
5. If correspondence & permanent address are different, then proofs for both have to be submitted.
6. Sole proprietor must make the application in his individual name & capacity.
7. For non-residents and foreign nationals, (allowed to trade subject to RBI and FEMA guidelines), copy of passport/PIO Card/OCICard and overseas address proof is mandatory.
8. For foreign entities, CIN is optional; and in the absence of DIN no. for the directors, their passport copy should be given.
9. In case of Merchant Navy NRI's, Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted.
10. For opening an account with Depository participant or Mutual Fund for a minor, photocopy of the School Leaving Certificate/Mark sheet issued by Higher Secondary Board/Passport of Minor/Birth Certificate must be provided.
11. Politically Exposed Persons (PEP) are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government/judicial/military officers, senior executives of state owned corporations, important political party officials, etc.

B. Proof of Identity(POI): List of documents admissible as Proof of Identity:

1. PAN card with photograph. This is a mandatory requirement for all applicants except those who are specifically exempt from obtaining PAN (listed in Section D).
2. Unique Identification Number (UID) (Aadhaar) / Passport / Voter ID card / Driving license.
3. Identity card/ document with applicant's Photo, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members; and Credit cards/Debit cards issued by Banks.

C. Proof of Address (POA): List of documents admissible as Proof of Address: (*Documents having an expiry date should be valid on the date of submission).

1. Passport/Voters Identity Card/Ration Card/Registered Lease or Sale Agreement of Residence/Driving License/Flat Maintenance bill/Insurance Copy.
2. Utility bills like Telephone Bill (only land line), Electricity bill or Gas bill - Not more than 3 months old.
3. Bank Account Statement/Passbook - Not more than 3 months old.
4. Self-declaration by High Court and Supreme Court judges, giving the new address in respect of their own accounts.
5. Proof of address issued by any of the following: Bank Managers of Scheduled Commercial Banks/Scheduled Co-Operative Bank/Multinational Foreign Banks/Gazetted Officer/Notary public/Elected representatives to the Legislative Assembly/Parliament/Documents issued by any Govt. or Statutory Authority.
6. Identity card/document with address, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities and Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members.
7. For FII/sub account, Power of Attorney given by FII/sub-account to the Custodians (which are duly notarized and/or apostiled or consularised) that gives the registered address should be taken.
8. The proof of address in the name of the spouse may be accepted.

D. Exemptions/clarifications to PAN (*Sufficient documentary evidence in support of such claims to be collected.)

1. In case of transactions undertaken on behalf of Central Government and/or State Government and by officials appointed by Courts e.g. Official liquidator, Court receiver etc.
2. Investors residing in the state of Sikkim.
3. UN entities/multilateral agencies exempt from paying taxes/filing tax returns in India.
4. SIP of Mutual Funds upto Rs 50,000/- p.a.
5. In case of institutional clients, namely, FIIs, MFs, VCFs, FVCIs, Scheduled Commercial Banks, Multilateral and Bilateral Development Financial Institutions, State Industrial Development Corporations, Insurance Companies registered with IRDA and Public Financial Institution as defined under section 4A of the Companies Act, 1956, Custodians shall verify the PAN card details with the original PAN card and provide duly certified copies of such verified PAN details to the intermediary.

E. List of people authorized to attest the documents:

1. Notary Public, Gazetted Officer, Manager of a Scheduled Commercial/Co-operative Bank or Multinational Foreign Banks (Name, Designation & Seal should be affixed on the copy).
2. In case of NRIs, authorized officials of overseas branches of Scheduled Commercial Banks registered in India, Notary Public, Court Magistrate, Judge, Indian Embassy/Consulate General in the country where the client resides are permitted to attest the documents.

F. In case of Non-Individuals, additional documents to be obtained from non-individuals, over & above the POI & POA, as mentioned below:

Types of entity	Documentary requirements
Corporate	• Copy of the balance sheets for the last 2 financial years (to be submitted every year). • Copy of latest share holding pattern including list of all those holding control, either directly or indirectly, in the company in terms of SEBI takeover Regulations, duly certified by the company secretary/Whole time director/MD (to be submitted every year). • Photograph, POI, POA, PAN and DIN numbers of whole time directors/two directors in charge of day to day operations. • Photograph, POI, POA, PAN of individual promoters holding control - either directly or indirectly. • Copies of the Memorandum and Articles of Association and certificate of incorporation. • Copy of the Board Resolution for investment in securities market. • Authorised signatories list with specimen signatures
Partnership firm	• Copy of the balance sheets for the last 2 financial years (to be submitted every year). • Certificate of registration (for registered partnership firms only). • Copy of partnership deed. • Authorised signatories list with specimen signatures. • Photograph, POI, POA, PAN of Partners.
Trust	• Copy of the balance sheets for the last 2 financial years (to be submitted every year). • Certificate of registration (for registered trust only). • Copy of Trust deed. • List of trustees certified by managing trustees/CA. • Photograph, POI, POA, PAN of Trustees.
HUF	• PAN of HUF. • Deed of declaration of HUF/ List of coparceners. • Bank pass-book/bank statement in the name of HUF. • Photograph, POI, POA, PAN of Karta.
Unincorporated association or a body of individuals	• Proof of Existence/Constitution document. • Resolution of the managing body & Power of Attorney granted to transact business on its behalf. • Authorized signatories list with specimen signatures.
Banks/Institutional Investor	• Copy of the constitution/registration or annual report/balance sheet for the last 2 financial years. • Authorized signatories list with specimen signatures.
Foreign Institutional Investors (FII)	• Copy of SEBI registration certificate. • Authorized signatories list with specimen signatures.
Army/ Government Bodies	• Self-certification on letterhead. • Authorized signatories list with specimen signatures.
Registered Society	• Copy of Registration Certificate under Societies Registration Act. • List of Managing Committee members. • Committee resolution for persons authorised to act as authorised signatories with specimen signatures. • True copy of Society Rules and Bye Laws certified by the Chairman/Secretary.

Note: In case of any correction in the form - Sign next to the correction done & Sign has to match the original signature

ANNEXURE

KYC

Details of Promoters/ Partners/ Karta / Trustees and whole time directors forming a part of Know Your Client (KYC) Application Form for Non-Individuals

Name of Applicant Firm Name / Trust Name / Society Name **PAN of the Applicant** Firm pan / Trust pan

Sr. No.	PAN and Aadhaar	Name	DIN (For Directors)/ UID (For Others)	Residential / Registered Address	Relationship with Applicant (i.e. promoters, whole time directors etc.)	Whether Politically Exposed	Photograph
	PAN of Partner Trustee Pan Member pan	Partner Name Trustee Name Member Name		Individual Address		☐ PEP ☐ RPEP ☑ NO	Photograph with Seal and Signature across
	Partner Pan Trustee Pan Member pan.	"		"		☐ PEP ☐ RPEP ☑ NO	"
	"	"		"		☐ PEP ☐ RPEP ☑ NO	"

Authorised Person

Name & Signature of the Authorised Signatory(ies) with Seal **Date** d d / m m / y y y y

PEP: Politically Exposed Person **RPEP:** Related to Politically Exposed Person

Note: In case of any correction in the form - Sign next to the correction done & Sign has to match the original signature

Ver: 3.1

ANNEXURE

KYC

Details of Promoters/ Partners/ Karta / Trustees and whole time directors forming a part of Know Your Client (KYC) Application Form for Non-Individuals

Name of Applicant Firm Name / Trust Name / Society Name **PAN of the Applicant** Firm Pan / Trust Pan

Sr. No.	PAN and Aadhaar	Name	DIN (For Directors)/ UID (For Others)	Residential / Registered Address	Relationship with Applicant (i.e. promoters, whole time directors etc.)	Whether Politically Exposed	Photograph
	PAN of Partner/ Trustee/ Member	Individual Name		Individual Address		☐ PEP ☐ RPEP ☑ NO	Photograph with Seal and Signature across
						☐ PEP ☐ RPEP ☑ NO	
						☐ PEP ☐ RPEP ☑ NO	

Authorised person

Name & Signature of the Authorised Signatory(ies) WITH SEAL

Date d d / m m / y y y y

PEP: Politically Exposed Person

RPEP: Related to Politically Exposed Person

Note: In case of any correction in the form - Sign next to the correction done & Sign has to match the original signature

Ver: 3.1

TRADING & DEMAT ACCOUNT RELATED DETAILS

TYPE OF ACCOUNT (Please tick whichever is applicable)

Status	Sub - Status
☐ Body Corporate ☐ Banks ☐ Trust ☐ Mutual Fund ☐ OCB ☐ FII ☐ CM ☐ FI ☐ Clearing House ☐ Others (Specify)_____	
SEBI Registration No. (If Applicable)	SEBI Registration Date D D M M Y Y Y Y
RBI Registration No. (If Applicable)	RBI Approval Date D D M M Y Y Y Y
Nationality ☐ Indian ☐ Others (Specify)_____	

CLEARING MEMBERS DETAILS (To be filled by CMs only)

Name of Stock Exchange

Name of the CC / CH

Trading ID Clearing Member ID

A. BANK ACCOUNT DETAILS - 1

Bank Name & Branch Location:			
Account No.		☐ Savings ☐ Current	
MICR Code		**IFSC Code**	

B. BANK ACCOUNT DETAILS - 2

Bank Name & Branch Location:			
Account No.		☐ Savings ☐ Current	
MICR Code		**IFSC Code**	

C. DEPOSITORY ACCOUNT DETAILS (DP1 DETAILS CONSIDERED FOR PAYOUT OF SECURITIES IF FILLED)

	DP 1 Details	DP 2 Details
Depository	✓ CDSL	☐ CDSL ☐ NSDL
DP Name	Angel Broking Pvt. Ltd.	
Client Name		
DP ID	12033200	
BO ID		

D. COMTRACK ACCOUNT DETAILS

CP Name			
Client Name			
CP ID		**CMSE ID**	

Note: Provide a copy of either Demat Master or a recent holding statement issued by CP bearing name of the client.

E. COMRIS ACCOUNT DETAILS

CP Name			
Client Name			
CP ID		**CMSE ID**	

Note: Provide a copy of either Demat Master or a recent holding statement issued by CP bearing name of the client.

Note: In case of any correction in the form - Sign next to the correction done & Sign has to match the original signature

F. TRADING PREFERENCES Please sign in the relevant boxes where you wish to trade. The segment not chosen should be struck off by the client

Name of the Exchanges & Segment		Signature of the Client
NSE / BSE - Cash / Mutual Fund	CL 4/15	Authorised Person Seal & Signature
NSE / BSE - F&O	CL 5/15	Authorised Person Seal & Signature
NSE / BSE - Currency Derivative	CL 6/15	Authorised Person Seal & Signature
MCX / NCDEX (Future & Options)	CL 7/15	Authorised Person Seal & Signature

[In case of allowing a client for trading on any other Exchange at a later date, which is not selected now, a separate consent letter is required to be obtained by the Member from client and to be kept as enclosure with this document]

G. STANDING INSTRUCTIONS / OTHER DETAILS / EMAIL-SMS ALERT / CONTRACT NOTE PREFERENCE

Contract Note/Holding & Transaction Statement including CAS/Other Documents* (For ECN in commodity segment, please refer pg. no. 9)	☐ Electronic ☐ Physical
Receive Delivery Instruction Slip	☐ No ☐ Yes
Share Email ID with Registrar & Transfer Agent	☐ No ☐ Yes
Receive Annual Report	☐ Electronic ☐ Physical ☐ Both
DP Account Statement	☐ Monthly ☐ Fortnightly ☐ Weekly ☐ As per SEBI Regulation
Declaration for Mobile Number	☐ Self ☐ Spouse ☐ Child ☐ Parent
Declaration for Email ID	☐ Self ☐ Spouse ☐ Child ☐ Parent ☐ Do not have
Running Account Settlement	☐ Monthly ☐ Quarterly
Whether you wish to avail of the facility of internet trading / wireless technology (please specify)	☐ Yes ☐ No
Margin Trading Facility (Refer Rights & Obligation document)	☐ Yes ☐ No

Note:

1. Dividend / Interest will be credited to bank account via ECS.
2. In case client doesn't opt for DIS booklet, it would be issued on request at any later date.
3. BO can view his ISIN balances, transactions & value portfolio online. To register for Easi please visit **website www.cdslindia.com**
4. *Other documents includes SEBI prescribed standard documents i.e. Rights & Obligation documents for trading and depository account, Risk Disclosure Document and Guidance Note or any other communication /document disseminated by Angel Broking.
5. Angel may carry out proprietary trades in addition to cliental trades.

H. DEALING THROUGH SUB-BROKERS / AUTHORISED PERSON (AP) / OTHER STOCK BROKERS

If Yes, please specify:

Name of Stock Broker		Name of SB/AP	
Name of Exchange		Client Code (as given by other broker)	

Details of disputes/dues pending from/to such stock broker/sub-broker:

Whether you are a Member / Sub-broker / AP of any Exchange ☐ Yes ☐ No

If yes, provide SEBI / EXCHANGES REGISTRATION NO: Ph. Website:

I. INFORMATION FOR PREVENTION OF MONEY LAUNDERING ACT, 2002

Experience	Number of years of Investment / Trading Experience ☐☐
Gross Annual Income	☐ Below 1 lac ☐ 1-5 Lacs ☐ 5-10 Lacs ☐ 10-25 Lacs ☐ >25 Lacs **OR** Net Worth in ₹ (*Net worth should not be older than 1 year) as on date DD / MM / YYYY Primary Source of Income (Please Specify) _____ Secondary Source of Income ☐ Royalties ☐ Bank Interest ☐ Rental ☐ Dividend ☐ Others (Specify) _____
Nature of Business	☐ Manufacturing ☐ Services ☐ Trading ☐ Consultancy ☐ Others_____
Client Category Commercial participant Noncommercial participant	☐ value chain participant ☐ exporter ☐ importer ☐ hedger ☐ financial participant ☐ trader ☐ arbitrager

Is the Client Politically Exposed Person (PEP) or Related to a PEP

☐ PEP ☐ Related to PEP ☑ Not a PEP / RPEP

Whether any of your Authorised Signatories/ Promoters / Partners / Karta / Trustees & whole time Directors are any of the following, or are directly or indirectly related to any of the following: ☐ Yes ☐ No

☐ Civil Servant ☐ Politician ☐ Current or former head of state

☐ Bureaucrat (Tax authorities, Foreign Services, IAS etc.)

☐ Current or former MP, MLA, MLC ☐ Connected to Media

☐ Connected to any promoter group of company listed on any stock exchange
Name of the Company _____

J. PAST ACTIONS

Details of any action / proceedings initiated / pending / taken by SEBI / Exchanges / any other authority against the applicant / constituent or its Partners / promoters / whole time directors /authorized persons in charge of dealing in securities / commodities during the last 3 years:

☑ No If yes, please specify

K. GST REGISTRATION DETAILS

Registration No	Validity Date	Name of the State
GSTIN No:	DD / MM / YYYY	

Note: Angel may carry out proprietary trades in addition to cliental trades.

Note: In case of any correction in the form - Sign next to the correction done & Sign has to match the original signature

L. INTRODUCER DETAILS

Status of Introducer ☐ Sub-broker/AP ☐ Employee ☐ Existing Client ☐ Others (Specify):

Introducer Name: SB/Emp/Client Code:

Address:

Mobile No:

Signature of the Introducer

DECLARATION

1. I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am / we are aware that I/we may be held liable for it.
2. I/We confirm having read/been explained & understood the contents of the tariff sheet and all voluntary / non-mandatory documents.
3. I/We further confirm having received, read & understood the contents of the 'Rights & Obligations' document(s), for equity, commodity & Demat 'Risk Disclosure Document, for equity, commodity Guidance note, Additional risk disclosure document for option trading and agree to abide by and be bound by the same and by equity & commodities the Bye-Laws as are in force from time to time. I/We do hereby agree to be bound by such provisions as outlined in these documents. I/We have also been informed that the standard set of documents has been displayed for Information on member's designated website i.e **www.angelbroking.com**

CL 8/15

(First/Sole Holder Signature) & SEAL Authorised person

Place: _____ Date: DD / MM / YYYY

FOR OFFICE USE ONLY

UCC Code allotted to the Client

BO ID Allotted to the client

	Documents verified with Originals	Client Interviewed By	In-Person Verification done by
Name of the Employee			
Employee Code			
Designation of the employee			
Date			
Signature			

I / We undertake that we have made the client aware of 'Policy and Procedures', tariff sheet and all the non-mandatory documents. I/We have also made the client aware of 'Rights and Obligations' document (s), RDD and Guidance Note. I/We have given/sent him a copy of all the KYC documents. I/We undertake that any change in the 'Policy and Procedures', tariff sheet and all the non-mandatory documents would be duly intimated to the clients. I/We also undertake that any change in the 'Rights and Obligations' and RDD would be made available on my/our website, if any, for the information of the clients.

For **Angel Broking Pvt. Ltd.**

Signature of the Authorised Signatory

Date: _____ **Seal/Stamp of the stock broker**

Place: _____

Note: In case of any correction in the form - Sign next to the correction done & Sign has to match the original signature

DETAILS OF PERSON AUTHORIZED TO DEAL IN SECURITIES ON BEHALF OF COMPANY / FIRM

Individual's Full Name FIRST MIDDLE LAST

Residential Address

AUTHORISED PERSON Details

City/town/village Pin Code

State Country Gender Male Female

Designation Date of birth DDMMYYYY

Nationality Tel STD TEL NO

UID PAN

Individual's Full Name FIRST MIDDLE LAST

Residential Address

Authorised Person details

City/town/village Pin Code

State Country Gender Male Female

Designation Date of birth DDMMYYYY

Nationality Tel STD TEL NO

UID PAN

Individual's Full Name FIRST MIDDLE LAST

Residential Address

City/town/village Pin Code

State Country Gender Male Female

Designation Date of birth DDMMYYYY

Nationality Tel STD TEL NO

UID PAN

Individual's Full Name FIRST MIDDLE LAST

Residential Address

City/town/village Pin Code

State Country Gender Male Female

Designation Date of birth DDMMYYYY

Nationality Tel STD TEL NO

UID PAN

(1)	(2)	(3)	(4)
Authorised person with seal across signature	Authorised person with seal across signature		
Recent Passport Size Photograph Only	Recent Passport Size Photograph Only	Recent Passport Size Photograph Only	Recent Passport Size Photograph Only
Sign across	Sign across	Sign across	Sign across

Note: In case of any correction in the form - Sign next to the correction done & Sign has to match the original signature

Sr. No.	Name of Authorized Person	Signature(s) along with Seal
1.		
2.		
3.		
4.		

Note: In case of any correction in the form - Sign next to the correction done & Sign has to match the original signature

Select the

EQUITY BROKERAGE DETAILS

Product	Delivery	Equity Intraday/ Futures (Each Leg)	Min Brokerage (Rs. Per Share)	Options (Per Lot) Each Leg			Currency Futures (Each Leg)	DP AMC Waivers
				Nifty	Others	Currency		
ANGEL ELITE ☐	**MARGIN > = 1,00,000**					**MARGIN COLLECTED:**		
Online Trade	0.128%	0.0128%	0.0128	24/-	48/-	3.2/-	0.008%	**For 1st 3 Years**
Offline Trade	0.160%	0.0160%	0.0160	30/-	60/-	4/-	0.010%	
ANGEL PREMIER ☐	**MARGIN - 50,000 to 99,999**					**MARGIN COLLECTED:**		
Online Trade	0.176%	0.0176%	0.0176	32/-	64/-	4.8/-	0.0088%	**For 1st & 2nd Year**
Offline Trade	0.220%	0.0220%	0.0220	40/-	80/-	6/-	0.011%	
ANGEL PREFERRED ☐	**MARGIN - 25,000 to 49,999**					**MARGIN COLLECTED:**		
Online Trade	0.224%	0.0224%	0.0224	36/-	72/-	5.6/-	0.0112%	**For 1st Year**
Offline Trade	0.280%	0.0280%	0.0280	45/-	90/-	7/-	0.014%	
ANGEL CLASSIC ☐	**MARGIN - 10,000 to 24,999**					**MARGIN COLLECTED:**		
Online Trade	0.32%	0.032%	0.032	40/-	80/-	6.4/-	0.016%	**NA**
Offline Trade	0.40%	0.040%	0.040	50/-	100/-	8/-	0.020%	

COMMODITY BROKERAGE DETAILS

Product	Delivery	Intraday (Each Leg)	
ANGEL ELITE ☐	**MARGIN > = 1,00,000**	**MARGIN COLLECTED:**	
Online Trade	NA	0.0088%	250
Offline Trade	0.30%	0.011%	
Minimum brokerage of 0.011 paisa per script for intraday			
ANGEL PREMIER ☐	**MARGIN - 50,000 to 99,999**	**MARGIN COLLECTED:**	
Online Trade	NA	0.012%	250
Offline Trade	0.30%	0.015%	
Minimum brokerage of 0.015 paisa per script for intraday			
ANGEL PREFERRED ☐	**MARGIN - 25,000 to 49,999**	**MARGIN COLLECTED:**	
Online Trade	NA	0.0152%	250
Offline Trade	0.30%	0.019%	
Minimum brokerage of 0.019 paisa per script for intraday			
ANGEL CLASSIC ☐	**MARGIN - 10,000 to 24,999**	**MARGIN COLLECTED:**	**OPTIONS**
Online Trade	NA	0.0184%	250
Offline Trade	0.30%	0.023%	
Minimum brokerage of 0.023 paisa per script for intraday			

Authorised person
Seal and Signature

First/Sole Holder

CL 10/15

P. T. O.

Note: In case of any correction in the form - Sign next to the correction done & Sign has to match the original signature

Select the

CHARGES FOR DEPOSITORY SERVICES

Charges Type	☐ Annual Maintenance Charge (AMC)	☐ Life Time AMC	☐ Free Life Time AMC
DP AMC Charges	Rs. 450 (+ Rs. 500 CDSL Charges for corporate account) + GST	Onetime payment of Rs. 2500 + GST	Margin money of Rs. 3,00,000/- and above * free AMC activation subject to credit

Franking charges	Rs. 50/-
Debit transaction/Pledge creation & Pledge closure/ Debit transaction of client shares from Angel beneficiary and Angel collateral account.	Rs. 20 + GST per ISIN
Dematerialization	Rs. 20 + GST per certificate and Rs.30/- per DRF for Postage Charges Plus Rs.30/- per rejection
Rematerialization	Actual CDSL Charges + Rs. 30/- per RRF request for postage charges
Additional DIS Request	Rs. 25.00 per booklet
Additional Statement	Rs. 25.00 per request

Note:

1. Brokerage levied to your trading account shall be the higher of brokerage value as per the existing rates or Rs. 30/- per settlement / segment, subject to the maximum rates prescribed by the regulator(s) from time to time.
2. Inter-Settlement charge towards debit transactions of client shares from Angel beneficiary & Angel collateral account, Rs. 20/- per ISIN would be applicable.
3. KRA / CKYC charges on actual basis, on account fetching and / or registration from / with KRA / CKYC authority.
4. Stamp duty, GST, Education cess & other statutory levies (if any) will be charged as applicable from time to time.
5. For availing 'Easiest' facility of CDSL, The charges as levied by CDSL would be collected from clients at actuals.
6. Delivery brokerage would be levied to all customers who has either opted for delivery or by default delivery allocated. It would be levied as per the rates specified in above table. In the absence of any rate default brokerage @ 0.30% would be levied.
7. For Electronic Delivery through NCDEX client needs to open separate account with COMTRACK participant. Separate charges with applicable taxes if any will be debited in client's ledger account.
8. In case of intra day transaction in Commodity contract first leg rates as above shall be charged on the buy value or sell value depending upon whichever is higher and second leg rates shall be charged vice versa.
9. Commission of Clearing & Forwarding Agent/Commission agents, if appointed on behalf of clients for the purpose of taking of deliveries will be charged at actual.
10. Angel Broking Pvt. Ltd. reserves the right to revise the tariff structure from time to time at its sole discretion, under advance intimation to clients either by way of ordinary post or by an email or by SMS or by notification on the back office interface.
11. Any amounts which are overdue from the Client in any trading segments shall be liable to interest at the rate of 1.5% per month or part thereof or such other rate as may be determined and communicated by Angel.

Authorised Person
Seal and Signature

First/Sole Holder

CL 11/15

BENEFICIAL OWNERSHIP DETERMINATION FORM

SEBI vide circular no. CIR/MIRSD/2/2013 dated January 24, 2013 and CIR/ISD/AML/3/2010 dated December 31, 2010 has mandated all registered intermediaries to obtain, as part of their Client Due Diligence policy, sufficient information from their clients in order to identify and verify the identity of persons who are beneficial owner. *The beneficial owner has been defined in the circular as the natural person or persons, who ultimately own, control or influence a client and/or persons on whose behalf a transaction is being conducted, and includes a person who exercises ultimate effective control over a legal person or arrangement.*

The following approach shall be considered while determining the Beneficial Ownership:

A. For clients other than individuals or trusts:

Where the client is a person other than an individual or trust, viz., company, partnership or unincorporated association/body of individuals, the intermediary shall identify the beneficial owners of the client and take reasonable measures to verify the identity of such persons, through the following information:

a. The identity of the natural person, who, whether acting alone or together, or through one or more juridical person, exercises control through ownership or who ultimately has a controlling ownership interest.

Explanation: Controlling ownership interest means ownership of/entitlement to:

i. More than 25% of shares or capital or profits of the juridical person, where the juridical person is a company;

ii. More than 15% of the capital or profits of the juridical person, where the juridical person is a partnership; or

iii. More than 15% of the property or capital or profits of the juridical person, where the juridical person is an unincorporated association or body of individuals.

b. In cases where there exists doubt under clause A (a) above as to whether the person with the controlling ownership interest is the beneficial owner or where no natural person exerts control through ownership interests, the identity of the natural person exercising control over the juridical person through other means.

Explanation: Control through other means can be exercised through voting rights, agreement, arrangements or in any other manner.

c. Where no natural person is identified under clauses A (a) or A (b) above, the identity of the relevant natural person who holds the position of senior managing official.

B. For client which is a trust:

Where the client is a trust, the intermediary shall identify the beneficial owners of the client and take reasonable measures to verify the identity of such persons, through the identity of the settler of the trust, the trustee, the protector, the beneficiaries with 15% or more interest in the trust and any other natural person exercising ultimate effective control over the trust through a chain of control or ownership.

C. Exemption in case of listed companies:

Where the client or the owner of the controlling interest is a company listed on a stock exchange, or is a majority-owned subsidiary of such a company, it is not necessary to identify and verify the identity of any shareholder or beneficial owner of such companies.

Accordingly the following information is required to be filled:
(Use additional sheets if required).

1. CLIENT DETAILS

Client Name: Firm Name / Trust Name

Client Account No.

Name of the MD/CEO of Company

2. BENEFICIAL OWNER'S PERSONAL INFORMATION

Beneficial Owner Name: Individual Name

Gender: Male Female Marital status: Single Married Date of birth: D D M M Y Y Y Y

Nationality PAN

% of shares or capital or profits of company/partnership firm/unincorporated association or body of individual

Address: Individual Address

City/Town Pin Code

State Country

Tel (Off) STD TEL NO Tel (Res) STD TEL NO

Mobile No. Fax STD FAX NO

Email id

Note: In case of any correction in the form - Sign next to the correction done & Sign has to match the original signature

3. BENEFICIAL OWNER'S EMPLOYMENT INFORMATION

Firm Name & Address

Employer Name FIRST MIDDLE LAST

Type of Business Position

Years with Employer

Address

City/Town Pin Code

State Country

Tel (Off) STD TEL NO Tel (Res) STD TEL NO

Mobile No. Fax STD FAX NO

Recent Passport Size Photograph of Beneficial Owner Only

(11/19) **Authorised Signature with company Seal**

Sign across with Seal and Signature

I/we certify that the information provided by me/us in this document is true and complete and I/we hereby agree to advise you immediately of any material change in the information.

Beneficial Owner/Authorised Person Signature Date DDMMYYYY

Witness Name FIRST MIDDLE LAST

Witness Signature Date DDMMYYYY

Branch Manager Name FIRST MIDDLE LAST

Branch Manager Signature Date DDMMYYYY

Note: Beneficial Owner is required to submit copy of PAN card and valid address proof alongwith the captioned form.

EOF

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DECLARATION BY HUF AND CONSENT LETTER

To,
Angel Broking Pvt. Ltd.

With regard to Beneficiary account no. (BO ID)_____ And Trading Account_____ maintained in the name & style "_____" with DP /Trading (Angel Broking Pvt. Ltd.)

We the following family members, being the co-parceners in the HUF account M/s _____ do hereby give our consent that the said Karta, viz_____ would operate above mentioned BO ID /Trading account as far as shares transactions of the HUF account is concerned.

We further declare and authorize you to recognize the beneficiary account No. _____ with depository _____ opened in the name of the undersigned who is the Karta of the HUF for the purpose of completing the share transfer obligations pursuant to the trading operations. I agree and understand that this is to facilitate the operation of the above trading account. The transfer made by you to the beneficiary account shall be complete discharge of obligations by you in respect of trades executed in the above trading account.

Details of our HUF and all its co-parceners are stated as mentioned below:

Sr.No	Name of Family Member	Date of Birth (DD MM YYYY)	Gender	Relationship with Karta	Signature

I, hereby state that details mentioned above are true and any change in them would be intimated to you in writing.

Title of HUF/ Karta _____

Signature of Karta

(HUF Rubber stamp)

ADD_DOCS

Note: In case of any correction in the form - Sign next to the correction done & Sign has to match the original signature

DECLARATION BY PARTNERSHIP FIRM (TO BE OBTAINED ON FIRMS LETTER HEAD OR ATTESTED WITH FIRM'S SEAL)

Date:_____

Only Company letter head

To,
Angel Broking Pvt. Ltd.
G-1, Ackruti Trade Centre,
Road No. 7, MIDC, Andheri (E),
Mumbai - 400 093.

Dear Sir,

This is with reference to the trading account opened with you in the name of _____a partnership firm and bearing the code _____. We the undersigned partners of the above mentioned firm hereby declare and authorize you to recognize the beneficiary account No. _____ with depository _____,which belongs to one of our partner for the purpose of completing the share transfer obligations pursuant to the trading participant in the name of partnership firm as per regulations and that transfers made by you to the beneficiary account as complete discharge of obligations by you in respect of trades executed in the above trading account firm.

We further confirm that the authorised person can jointly / severally issue instruction to you as our broker for securities trades, fund, etc. and to do all such acts, deals and things as may be necessary for the purpose of operating this account.

Signature (Please sign with stamp of the partnership firm)

We, (Please write name of the partners)
1._____
2._____
3._____

Partners of the firm confirm that any securities due to the Firm's trading account with you, if transferred to the above mentioned Demat account, will constitute good delivery of the obligation. We further state that Angel will not be responsible, if the shares are transferred to the above-mentioned a/c.
If there is any change in the information given above, same shall be informed to Angel in writing. If any such information not communicate to Angel, that Angel will not be liable for losses suffered by the firm or any of the individual partners.

Signature _____	Signature _____	Signature _____
1. Name	2. Name	3. Name
with Seal	with Seal	with Seal

Note: In case of any correction in the form - Sign next to the correction done & Sign has to match the original signature

BOARD RESOLUTION (Trading / Demat)

(On the Company letterhead)

CERTIFIED TRUE COPY OF RESOLUTION PASSED AT THE MEETING OF THE BOARD OF DIRECTORS OF _____ **HELD AT THE REGISTERED OFFICE OF THE COMPANY AT** _____ **ON** _____

"**RESOLVED THAT** subject to the provisions of memorandum and article of association, the Company do hereby open a demat and trading account for dealing in securities in cash segment and/or derivative Segment of BSE Ltd and / or NSEIL and / or Future & Option segment of MCX and /or NCDEX with ANGEL BROKING PRIVATE LIMITED in the name and style of "_____".

RESOLVED FURTHER THAT the company do hereby consent to avail margin trading facility on the BSE Ltd and / or NSEIL in accordance with the terms and conditions of Margin Trading Facility prescribed by Angel Broking Pvt. Ltd, rights and obligations issued by exchanges, provisions of circular issued by SEBI / exchanges etc and their amendments thereof.

RESOLVED FURTHER THAT the company do hereby agree to receive information relating to confirmation of orders/trades, margin calls, decision to liquidate the position / security etc by email / SMS on their registered email Id and mobile number.

RESOLVED FURTHER THAT Mr._____, **Mr.** _____, **Mr.**_____ Directors and **Mr.**_____ **Mr.** _____ the Authorized Signatories of the Company be and is hereby jointly and severally authorized to negotiate and finalize the terms and conditions for opening of account and sign and execute necessary forms as might be required for the purpose of opening the trading and / or Beneficiary account /s as aforesaid and are further authorized to operate the said account for and on behalf of the Company.

RESOLVED FURTHER THAT the company do hereby execute in favor of **Angel Broking Pvt Ltd.**, a Power of Attorney to enable Angel Broking Pvt Ltd. to meet settlement / margin obligation and authorize **Mr.**_____ **Mr.**_____ Director / Authorized Signatories of the company to execute, notarize and deliver to Angel Broking Pvt Ltd., the said Power of Attorney and to do all other things as may be necessary in this connection.

FURTHER RESOLVED THAT a certified copy of the said resolution be communicated to ANGEL BROKING PVT LTD. together with the specimen signatures of all the aforesaid official's by the Chairman of the meeting / company".

For_____ **Ltd**

Un-authorised person Seal and Signature

Place:

Date:

Signatory

SPECIMEN SIGNATURES

NAME	**SIGNATURE**
1. Mr._____	_____
2. Mr._____	_____
3. Mr._____	_____

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PLEASE TICK WHICHEVER IS APPLICABLE

☐ A. CLIENT DECLARATION - OPEN INTEREST POSITION

I/We, the undersigned, have taken cognizance of circulars issued by SEBI / Commodity Exchanges from time to time on the guidelines for calculation of net open positions permitted in any commodity and I/we hereby undertake to comply with the same.

I / We hereby declare and undertake that I / we will not exceed the position limits prescribed from time to time by Commodity Exchanges or SEBI and such position limits will be calculated in accordance with the circulars on position limits as modified from time to time.

I/We undertake to inform you and keep you informed if I / any of our partners / directors / karta / trustee or any of the partnership firms/companies / HUFs / Trusts in which I or any of above such person is a partner / director / karta / trustee, takes or holds any position in any commodity forward contract / commodity derivative on Exchanges through or through any other member (s) or Exchanges, to enable you to restrict our position limit as prescribed by the above referred circular of Exchanges as modified from time to time.

I / We confirm that you have agreed to enter order in commodity forward contracts / commodity derivatives for me / us as your clients on Commodity Exchanges only on the basis of our above assurances and undertaking.

I/We further undertake to bear any liable/penalty/charges levied by Commodity Exchanges / SEBI.

☐ B. CONSENT TO INSTRUCTION TO MAINTAIN RUNNING ACCOUNT (VOLUNTARY)

I / We request you to maintain my/our accounts for funds and securities on running accounts basis instead of 'bill to bill' settlement basis, unless I/we specifically request you for a payout of available free funds or securities in the account. You may settle the accounts at Monthly/Quarterly (as opted in the account opening form) or at such other intervals as SEBI/ Exchanges may specify from time to time. I / We further authorize you to retain securities and/or funds as may be permitted by Exchanges/SEBI from time to time or towards other unbilled services and/or charges applicable on my account, while settling the accounts. I/We further authorize you to also retain an amount of up to Rs. 10,000/- (Ten Thousand Only) or any other sum as may be permitted by SEBI / Exchanges from time to time, while settling my / our account. I / We understand and agree that no interest will be payable on the amount of funds retained by you as above. I / We agree that Angel shall not be liable for any claim for loss or loss of profit or for any consequential, incidental, special or exemplary damages, or otherwise, caused by retention of such securities/funds. The standing instruction/authorization for maintaining my/our account as running account shall remain valid until revoked in writing, addressed to you.

☐ C. CONSENT FOR ELECTRONIC CONTRACT NOTE (ECN) - DECLARATION (VOLUNTARY)

I, _____, Client Code _____, PAN _____, Registered with you as a client of Multi Commodity Exchange of India Ltd (MCX), and/or National Commodity & Derivative Exchange Ltd (NCDEX), undertake as follows:

- I am aware that the Member has to provide physical contract note in respect of all the trades placed by me unless I myself want the same in the electronic form.
- I am aware that the Member has to provide electronic contract note for my convenience on my request only.
- Though the Member is required to deliver physical contract note, I find that it is inconvenient for me to receive physical contract notes. Therefore, I am voluntarily requesting for delivery of electronic contract note pertaining to all trades carried out/ordered by me.
- I have access to a computer and am a regular internet user, having sufficient knowledge of handling the email operations.
- My email id is _____(the email id must be written in own handwriting). This has been created by me and not by someone else.
- I am aware that this declaration form should be in English or in any other Indian language known to me.
- I am aware that non-receipt of bounced mail notification by the member shall amount to delivery of the contract note at the above e-mail ID.

[The above declaration has been read and understood by me. I am aware of the risk involved in dispensing with the physical contract note, and do hereby take full responsibility for the same]

Authorised Person

Verification of the client signature done by,

Authorised Signatory of Member ☒

Designation:

Date: DD / MM / YYYY

Client Signature CL 12/15

with Seal

Date: DD / MM / YYYY

Place:

Note: In case of any correction in the form - Sign next to the correction done & Sign has to match the original signature

VOLUNTARY TERMS AND CONDITIONS (EQUITY & COMMODITY)

Whereas the client intends to open securities / commodities trading accounts with Angel Broking Pvt. Ltd., (hereinafter referred as Angel) for the purpose of trading in Capital Market Segment , Futures & Options and Currency Derivative Segments and Commodities Futures & Options of the National Stock Exchange of India Ltd., the Bombay Stock Exchange Ltd., the Metropolitan Stock Exchange of India Limited, Multi Commodity Exchange, National Commodity & Derivatives Exchange Limited and Mutual Fund transactions Facilities offered by Stock Exchange and whereas for the purpose of more fully and conveniently availing of the services agreed to be provided by Angel and also the additional services that may be made available by Angel from time to time, the Client, on its own free will and volition, agrees to accept and be bound by the following terms and conditions. The Client understands that these terms and conditions are voluntary i.e, non-mandatory in nature but on their acceptance, these shall constitute the contract between the parties and bind them fully and be enforceable by each party against the other.

1. **Authorization to debit additional charges with regard to Trading and Demat Account:** Without prejudice to the other rights and obligations of the parties, the client understands and agrees that Angel may levy additional charges including Annual Maintenance Charges and all transaction charges with respect to Clients Demat account / Counter Demat account for any service rendered by Angel and as may be required by the Client, and recover from the Client all reasonable costs, as may be incidental or consequential for rendering the said services. The said charges will be debited to the clients ledger account with Angel Broking.

2. **Lien:** All securities, commodities funds and/or properties (Any assets available with Angel) of the Client as may be permitted by the Exchange(s) from time to time to be placed with Angel shall be subject to a lien for the payments or fulfillment of all undischarged liabilities and obligations of the Client in relation to its transactions or owing to any of the group companies of Angel. Angel shall be entitled to withhold such securities, commodities, funds and/or property of the Client as security towards any such un-discharged liabilities or obligation of the Client and to sell and/or appropriate to itself all such securities, commodities, funds or properties at its sole discretion & at any point of time, without notice to client.

3. **Authorization for delivering / pledging shares:** The client understands and agrees that Angel may deliver to the Exchange any securities held by it on behalf of the client to discharge settlement obligations in respect of securities sold by the client or pledge the same with the clearing house of the recognized stock exchange in any segment where the Client is registered for trading for the purpose of providing margin for the trading positions contracted or to be contracted by the Client or with any scheduled commercial bank, Non-Banking Financial Institution or other financial institution for raising funds to the extent account of the client carries debit balance but without any obligation on its part to so raise funds by pledging the securities and without prejudice to the right of Angel to enforce, at its option, the collateral security in the securities to recover the debit balance at any time.

4. **Authorization for Inter segment fund balance transfer and stock transfers:** The client hereby authorizes Angel to transfer its debit/credit balances in the ledger account arising during the course of trades in any segment including commodities segment to its ledger account in any other segment including commodities segment or to transfer any stock purchased/lying in its account in any segment including commodities segment to its account in any other segment as often as may be required. The transfers may be completed by passing journal entries in the books of Angel.

5. **Disclaimer:** The Client understands and agrees that neither Angel nor any other party disseminating any market data, message or information through the Website of Angel or in any other media shall be liable for:

(a) Any inaccuracy, error, omission or delay in the transmission or delivery of any such data, information or message, or

(b) **Any loss or damage arising from or occasioned by** (i) Any such inaccuracy, error, delay or omission, (ii) Non-performance, or (iii) Interruption in making available any such data, information or message, due to either any act or omission by Angel or any disseminating party or to any "force majeure" (e.g. flood, extraordinary weather condition, earthquake or other act of nature, fire, war, insurrection, riot, labour dispute/unrest, accident, action of government, communications or power failure, equipment or software malfunction) or any other cause beyond the reasonable control of Angel or any disseminating party.

6. **Manner/Mode of placing orders/instructions & Non-execution/delay/cancellation of Orders:** The Client may communicate orders and other instructions to Angel or the sub-broker/authorised person as the case may be over phone at the designated contact telephone number, or in writing, or through designated email, or by personally visiting the designated office. The client hereby agrees that Angel or the Exchanges shall not be liable for non execution or partial execution of any orders caused due to suspension, interruption, or malfunctioning of the online as well as offline trading services, disruptions or congestion of communication net works, hardware or software problems, or failure of the electronic trading beyond the control of Angel or the Exchange.

7. **Client not to act on representations of agents, employees:** Client is aware that Angel has not authorized any agents, representatives, employees or other persons to make any representation, or to give any promise, assurance, warranty, undertaking or commitment as to return on investment of the Client whether in writing or otherwise on behalf of Angel.

8. **Recording of Conversation:** The client is aware and agrees that Angel may tape record the conversation between the client/client's representative and Angel, whether over the telephone or in person, as per applicable laws, rules and regulations of SEBI / Exchanges. Angel may produce before competent authorities, voluntarily or on such production being required by such authorities, recorded conversation or transcript thereof or both as valid evidence of the content of the conversation so recorded.

9. **Confidentiality of Client Details:** Angel may disclose the client information to any person /entity as required under the law or to any broker's Association or organisations in case of dispute in order to take informed decision. The Client hereby agrees and give its consents for the disclosure by Angel to any person or entity including but not limited to any independent

third parties or any entities of Angel Group, whether within or outside India, of any information and data relating to Client or relating to Client's trading account with Angel for the purposes of or in connection with, any present or proposed initiatives, including but not limited to any marketing or cross sell initiatives, business proposals, activities, facilities or services availed of or to be availed, by Client in future.

10. Disclosure as to Proprietary Trades by Angel: Angel may carry out proprietary trades in addition to trades on behalf of its Clients.

11. Severance: In case any one or more of the terms and conditions contained in this document become invalid, illegal or unenforceable in any respect under any applicable law, the validity, legality and enforceability of the remaining provisions contained herein shall not in any way be affected or impaired thereby.

12. No Waiver: No forbearance, relaxation or inaction by any party to require from the other performance or discharge of any obligation to be performed or discharged by the other under this document shall in any way affect, diminish, or prejudice the right of such party to require of the other party at any time such performance or discharge, or performance or discharge of any other obligations under this document or be considered to be a waiver of any rights, unless the waiver is specifically agreed in writing.

13. Notices: All notices or communications issued by Angel shall be served on the Client in any one or more or all of the following ways at the ordinary business address and/or ordinary place of residence and/or last known address of the client:

13.1 (a) By ordinary post or (b) By registered post or (c) By express delivery post or (d) by SMS on registered mobile or by telephonic call or (e) By affixing it on the door at the last known business or residential address or (f) By oral communication to the party or on the last known telephone number or on the recording machine of such number or (g) By advertising it in at least one prominent daily newspaper having circulation in the area where the last known business or residential address of the client is situated or (h) By publishing it on the website of Angel wherein secured log-id and password to Client is provided or (i) By a notice posted on the notice board of the Exchange if no address be known or (j) By electronic mail or fax or (k) By hand delivery or By Courier or any other approved mode as may be allowed for communication.

13.2 Notwithstanding anything stated above, communication relating to orders, margins, maintenance calls and other similar matters in the ordinary course of dealings between Angel and the Client may be made orally.

14. Electronic Contract Note (ECN):

14.1. Client agree to receive contract notes in Electronic/Digital Form (ECN) authenticated by means of a digital signature in lieu of Physical Contract notes through e-mail by authorizing Angel in this connection and providing the e-mail address(es) at which the Client wishes the ECN to be sent.

14.2. The Client shall access and verify the ECN and all information contained therein, In case of discrepancy the Client, shall inform Angel either in writing or via E-mail within reasonable time of the receipt of the same. Angel shall also publish the Contract Note on the Web site www.angelbroking.com or on any other designated location specified by Angel from time to time. The Client will be issued a login and password by which the Client can login in to his account and view/save/print the ECN. Should the Client experience any difficulty in opening the ECN, Angel may, on advice by the Client, make the Contract Note available by any other means (e-mail, electronic mail attachment, or in the form of an available download from the back office web site or by delivery of a hard copy). Client's failure to advice Angel of such a difficulty shall amount to valid delivery and viewing of the document by the Client.

15. Electronic Transmission of other Documents: The Client who have opted for ECN agrees that Angel may transmit to the Client any statements, documents or intimation including, but not limited to, Margin Statement, Statements of Funds and Securities, margin and maintenance calls & other notices / communications document mandated by SEBI/Stock Exchange/Depository in electronic mode either at the e-mail ID designated for delivery of ECN or to the mobile number of the Client or both and, in case of non receipt of bounced mail/non delivery of SMS notification, Angel shall be deemed to have fulfilled his obligation to deliver to the Client such documents. Discrepancies if any in documents should be brought to the notice of Angel within reasonable time from issuance failing which the documents shall be deemed to be true and correct record of transactions stated therein.

16. Electronic Payment Gateway for Net Banking Services: Angel may provide on its internet trading website, without additional cost to the Client, access to Electronic Payment Gateways provided by various banks for facilitating transfer of funds from Client's bank account to the account of the Client with Angel. Client understands that Angel is only providing access to the electronic fund transfer facility provided by the banker of the Client through Angel's website by means of an interface and is not liable or responsible for the proper functioning or otherwise of the Gateway or for any transaction errors, losses, malfunctioning or hacking of the system by unscrupulous elements, frauds, and/or any incidental or consequential claims arising thereout. Client undertakes not to make Angel a party to any litigation, claim, dispute, difference or complaint that the Client may initiate in respect of, arising out of or in connection with any transactions on the Gateway and agrees that Angel's liability shall at all time be limited to the amount actually received in its account by electronic transfer from Client's account with the Bank.

17. Internet / Wireless Technology based Trading facility:

17.1. Angel offers Internet and mobile Trading facility for transaction in securities on the concerned Exchanges including facilities for online application of IPO / FPO / NFO / Bond issues or any other issues of securities or services to apply/purchase/redeem/ sale/buyback or otherwise deal in the units of Mutual Funds (hereinafter referred to as "the Internet/wireless Trading system") through Exchange approved software. The Client can route its orders to Angel over the internet/mobile/laptop with data card or any other devices which use internet protocol for purchasing, selling and dealing in securities. The Client may avail of such Trading facility provided by Angel by complying with the formalities prescribed therefore.

17.2. Non-usage of Internet/Wireless Trading Facility: If the Client does not use the Internet/Wireless Trading Facility for a continuous period of 3 months or such other period as Angel may notify, the facility may be deactivated without notice and the Client shall comply with the prescribed formalities for reactivating the facility. Trades can, however, be executed at all time by placing orders off-line with the concerned branch of Angel.

17.3. The client understands and agrees that Angel has different product of the Internet Trading /Wireless Trading softwares which have been approved by the Exchanges and the client shall be allotted such product as may be chosen by him. The client also understands and agrees that depending on the trading activity of the client, Angel shall have the exclusive right and liberty to change the product version allotted to the client and allot a different product version of the Internet Trading/Wireless Trading facility.

17.4. Orders of Client subject to review by Angel: The Client agrees that the Angel may, on being suspicious of any of the transactions, review any order placed by a Client, which may cause delays in the processing of the Client's order or may result in rejection of such order."

18. **Extra Ordinary Events and termination/suspension of trading facility:** Angel will not be liable for losses caused directly or indirectly by government restriction, Exchange rulings, suspension of trading, computer, communication, telephone or system failure, war, earthquakes, flood, accident, power failure, equipment or software malfunction, lack of connectivity, congestion or disruption of communication net-work or links, software glitches or corruption, low processing speed, strikes or any other conditions beyond Angel's control resulting in non-execution, partial or incomplete execution of orders and the resulting financial loss, if any Angel may at any time terminate, discontinue or temporarily suspend trading facility provided to the Client in the event of any such extraordinary event occurring without giving prior notice to the Client.

19. **Amendments to the terms and conditions:** Angel reserves the right to amend the terms and conditions herein contained by adding, deleting, modifying or varying the provisions thereof by giving 15 days notice to the Client. In the event where the client has not objected to revised terms and conditions within 15 days of receiving the notification, the same shall be binding on the client.

20. **Mutual Fund Service System Facility / BSE Star MF:** Client is registered with Angel Broking and has executed Know Your Form and certain other documents for the purpose of trading in securities market on the recognized Stock Exchange (herein after referred as "Exchange"). Incase client opts for the purpose of dealing in the units of Mutual Funds Schemes permitted to be dealt with on the SEBI recognized Stock Exchanges (Mutual Fund Transaction Facilities). Know Your Client details as submitted by the client for the stock broking shall be considered for the purpose of Mutual fund transaction facilities and abide by the terms and conditions as mentioned in the circulars as may be specified by the Exchanges from time to time in this regard. Client shall also ensure compliance with the requirements as may be specified from time to time by Securities and Exchange Board of India and Association of Mutual Funds of India (AMFI). Client shall read & understand the contents of the Scheme Information Document and Key Information Memorandum, addendum issued regarding each Mutual Fund Schemes with respect to which client choose to subscribe / redeem. Client further agree to abide by the terms and conditions, rules and regulations of the Mutual Fund Schemes. Client confirm to have read & understood the terms & conditions for using Mutual Fund transaction facility as stated in KYC handout (customer copy). Client is aware that he/she can transact directly with the AMC without recourse to Distributor's name at any time under the folio(s) tagged with the Distributor's name /broker code. The Client agrees and authorizes Angel as distributor of mutual funds to communicate on his/her behalf with the AMCs for financial and /or non-financial transactions including for receiving investment details from the AMC.

21. Parties agree that all claims, differences or disputes between them, arising out of or in relation to this mandatory and voluntary client / registration document, any dealings and transactions made subject to the Bye laws, Rules and Regulations of the Exchanges shall be submitted to arbitration for resolution in accordance with the provisions of the Arbitration and Conciliation Act, 1996 and the Byelaws and Regulations of the concerned Exchange. Provided, however, that recourse shall not be had to the arbitration, the grievance redressal mechanisms or to the investor protection schemes of the of the Exchanges or SEBI where the claims, differences or disputes exclusively arise out of or relate to any contracts entered into; transactions carried out; schemes, leagues or competition joined; other arrangements or understandings reached or relations established by the client with a group concern or associate of the Stock Broker or any third parties and to which the Byelaws, Rules and Regulations of the Exchange are not attracted and the Client understands and agrees that any application for invoking the arbitration/grievance redressal mechanisms or investor protection schemes of the Exchange in relation to any such dispute, claims or differences shall be liable to be dismissed.

ADDITIONAL - VOLUNTARY TERMS AND CONDITIONS (COMMODITY)

1. **Setting up of Exposure Limits:**

1.1. Angel may sanction trading limits to the Client based on the margin lying to the credit of the Client in the form of funds / securities / bank guarantees / fixed deposit receipts. Angel at its sole discretion may refuse to accept any security as collateral/margin. Angel shall from time to time publish a list of securities which would be acceptable as collateral / margin. In setting exposure limits for the Client, Angel shall be entitled to consider such factors as it may deem fit, including without limitation, the client's risk profile, risk appetite, loss bearing capacity, payment history, market volatility, risk management policy of Angel and such other factors or conditions which Angel may consider relevant for the purpose. Angel reserves liberty to vary the trading / exposure limits of the Client depending upon its risk assessment from time to time having regard to the changes in any of the factors or market conditions bearing on the risk profile of the Client.

1.2. Neither Angel, nor any affiliate of Angel nor their respective directors, officers, employees, agents shall in any circumstances be liable for any direct or indirect loss, cost, liability, expense or damage (including without limitation all legal fees and expenses) arising from any variation or reduction of exposure or turnover limits by Angel.

2. **Client to have a Mobile connection:** Client agrees to have a mobile connection as a pre-condition to opening & maintaining the a/c with Angel & undertakes to notify Angel promptly whenever he/she/it obtains a new mobile connection in replacement of a mobile previously notified to Angel.

3. **Aggregation of open market positions of the Client by the Exchange:** Client agrees that if the Exchange directs / advise Angel to reduce the exposure of the Client in any outstanding open interest in any contract, because the market exposure of the client in the contract, individually, or taken together with that of related parties, exceeds the maximum allowable open position prescribed by the Exchange for an individual client or for all clients of Angel in the contract, Angel may square off the open market positions of the client in the contract as directed by the Exchange. client also agrees that, though Angel may on a best effort basis inform the client of the direction given by the Exchange, it is under no obligation to inform the client and/or obtain client's consent prior to squaring off the positions under this clause and all losses, if any, arising from such squaring off shall be to the account of the client.

4. **Payment of Full Contract Value:** Client agrees to pay Angel for all open buy positions, excepting both side option contract positions, full contract value less the free surplus fund of the client, if any, already with Angel, one day prior to the commencement of the tender period for the respective contract, and if that day is a bank holiday, on the day immediately preceding that day which is bank working day. If the Client makes a default in making payment as above, Angel at its discretion may square off all or part of the open positions of the Client and all and any losses arising thereout shall be to the account of the client.

5. **Execute / Self / Wash / Match trades:** Orders of buy and sell placed at such rates and within such time intervals / frequencies, and particularly in contracts considered illiquid, may be rejected or cancelled by Angel without prejudice to its other rights to impose penalty on the client and/or deregister the client. Angel may also report such instances to the Exchange or the Regulator for appropriate action at their end.

I/We hereby state & confirm that I have read & understood the terms & conditions as mentioned above & agree to abide by the same.

For Angel Broking Pvt. Ltd.

Authorised Person

Authorised Signatory: _____

Client Signature CL 13/15

with Seal

Designation:

Date: DD / MM / YYYY

Note: In case of any correction in the form - Sign next to the correction done & Sign has to match the original signature

RIGHTS AND OBLIGATIONS RELATING TO MARGIN TRADING FACILITY PROVIDED TO CLIENTS (VOLUNTARY)

1. Angel is permitted to extend MTF to the clients on such terms and conditions as specified by the Stock Exchanges / SEBI from time to time and as mutually agreed by and between Angel and the Clients. This Rights and Obligation comprises the terms and conditions applicable to MTF and Angel and clients shall abide by the same and any other requirements of the margin trading framework, including other rights and obligations, if any, prescribed by the Stock Exchange/ SEBI/ Angel form time to time. Any modifications to the terms and conditions, other than those prescribed by SEBI/Stock Exchanges, shall be intimated to the Clients giving 15 days notice in advance.

2. Equity Shares that are classified as 'Group I Security" by SEBI only shall be eligible for MTF. Angel, at its discretion, may not provide funding under MTF to certain equity shares though classified to be "Group I Security" by SEBI. Equity shares shortlisted by Angel for funding (Approved List) shall be as displayed on Angel's trading website from time to time.

3. Initial margin, increased margin, margin shortage, margin calls, maximum allowable exposure, maximum stock specific exposure, trade confirmation, square off intimation and such other information in relation to MTF shall be communicated to the Clients electronically through one or many or all of the following modes, viz email, SMS, WhatsApp, mobile notifications, and additionally through telephone calls.

4. In order to avail of margin facility, the minimum initial margin required to be provided by the Clients, as prescribed by SEBI/Stock Exchanges, is as under:

   VaR + 3 times of applicable ELM in case of F & O Stocks (i.e., stocks available for trading in the F&O Segment.

   VaR + 5 times of applicable ELM in case of stocks other than F & O Stocks.

   VaR and ELM shall mean VaR and ELM as applicable to respective stocks in the cash segment.

5. Client shall be required to provide the minimum initial margin as applicable for a particular stock to buy that stock under MTF. The margin shall never be lower than that prescribed by the Stock Exchange/SEBI. However, Angel shall have the right to demand a higher initial margin than the margin prescribed by SEBI/Stock Exchanges.

6. Subject to the initial margin as aforesaid, Angel may, at its sole and absolute discretion, revise and increase from time to time the margin required for any stock permitted to be traded under MTF. Where client has exposure in the stock in respect of which margin has been revised but does not already have sufficient credit in the account to meet increase in margin, Client shall pay margin found short within the time prescribed for making margin payment.

7. Applicable minimum initial margin, increased margin, margin shortfall, if any, can be paid in the form of cash, cash equivalent, or Group I equity shares with appropriate hair cut as specified in SEBI Master Circular No. SEBI/HO/MRD/DP/CIR/P/2016/135, DTD. 16/12/2016. Client shall have the right to change collateral securities provided under the MTF with other collateral securities provided that such other collateral securities are approved and sufficient to meet the margin required.

8. Margin requirement on shares purchased under MTF shall be computed by grossing applicable margin i.e., minimum initial margin plus increased margin, if any, on each stock and shortage computed accordingly by deducting available margin from gross margin. Collateral shares and shares purchased under MTF (Funded Shares) shall be marked to market daily for the purpose of computing the margin/shortage of margin.

9. Applicable minimum initial margin and increased margin, if any, shall be kept supplied at all times by the clients in respect of the stocks purchased under the MTF. Client shall pay any shortage in the required margin immediately on receiving demand (margin call) and in any case not later than 11.00 P.M on the trade day following the day of making the margin call (prescribed time) failing which Angel shall be at liberty to liquidate the funded shares and/or collateral shares to recover the dues outstanding in the account of the Clients. In case of extreme volatility in the market, Angel may demand payment of margin forthwith and prescribed time for making margin payment shall be construed accordingly. Decision of Angel in relation to market volatility shall be final and binding without Angel having to provide any reason for the decision to the Client.

10. If required margin is not provided within the prescribed time, Client shall be treated as client in margin default. Angel shall not be obliged to notify the client in margin default of the liquidation of shares, ahead of liquidation. Angel shall not be obliged to liquidate shares proportionate to the shortage in margin.

11. Client in margin default shall continue to be in margin default, until the required margin is furnished in full to eliminate the shortage. Partial payment of margin or a change in the required margin shall not extend the time stipulated for making margin payment which will run from the time of making margin call to the Client.

12. In case margin is reduced by an amount equal to applicable ELM component of the total margin due to market volatility within a trading day (i.e., available margin becomes equal to or less than applicable VAR margin), Angel reserves the right to liquidate the collaterals and/or funded shares forthwith without prior notice to the client.

13. MTF Clients purchasing shares not specified in Angel Approved List of Group 1 securities shall be required to 100% margin upfront for such purchases.

14. If any shares are delisted from Angel Approved List, Client shall be required to make payment of full purchase consideration against such shares on receiving margin call within the prescribed time, failing which Angel shall be at liberty sell such shares without further notice to the Client.

15. If a client is debarred by orders of lawful authority from trading in the securities market, Angel shall liquidate collateral and funded shares of the client to recover its dues to the full extent forthwith.

16. In case of death of a client, Angel shall be entitled to liquidate the collateral and funded shares under MTF and recover the unpaid outstanding due.

17. Any loss arising from liquidation of the shares shall to be account of the Client. Client shall forthwith pay Angel any unpaid dues outstanding in the account after liquidation of the shares.

18. Angel reserves the right to withdraw MTF with respect to any Client without assigning any reason after giving a reasonable notice to the Client in which case dues if any outstanding in the account of the Client shall become payable immediately. Failure to make payment of the outstanding dues shall result in liquidation of collateral and/or funded shares held in Client's account.

19. Client may terminate the MTF account after paying all dues in the MTF account.

20. Angel shall not use the funds and securities of one client to provide MTF to another client, even on the authority of the client.

21. The stocks deposited as margin collateral and funded stock shall be identifiable separately and no comingling shall be permitted for the purpose of computing funding amount.

22. Angel may at its option allow client to buy further shares under MTF on the basis of increase in the value of collateral shares, subject to applicable hair cut. Further purchase shall not be permitted on the basis of increase in the market value of funded shares.

23. IPF shall not be available for transactions done on the Stock Exchange, through MTF, in case of any losses suffered in connection with the MTF availed by the client.

24. Angel shall restrict the maximum gross exposure as well as individual stock-wise exposure of a client under the MTF at any point in time according to its internal policies and market views without assigning any reasons to the client. Furnishing applicable margin shall not by itself entitle the client to seek exposure beyond the limit restricted by Angel.

25. Admitting clients for MTF shall be at the discretion of the Stock Broker/Trading Member. Clients request for admission to MTF may be disallowed without assigning any reason.

26. By agreeing to avail of MTF, the client shall be deemed to have authorized Angel to retain and/or pledge the shares purchased under MTF (funded shares) and collateral shares provided as margin till the amount due in respect of the purchase and all other dues are paid in full by the Client.

27. All outstanding dues under MTF shall carry interest @18% p.a, compounded at fortnightly rest.

28. Outstanding dues shall not be carried in the books beyond 90 days from the date of accrual and in case Client fails to pay up the dues within the said 90 days, collateral and/or funded shares shall be sold to liquidate the dues, even though applicable margin is available in the MTF account of the Client. For this purpose, 90 days shall be computed with respect to each debit entry in respect of purchases under MTF separately and liquidation shall be carried out accordingly. Angel shall have discretion to sell any stock/stocks to liquidate the outstanding dues older than 90 days.

29. Client shall be free to take delivery of the shares purchased under MTF anytime, but not later than 90 days, from the date of funding by making full payment of the outstanding dues in relation to the shares purchased.

30. Until full payment of the outstanding dues in the MTF A/c is made by the Client, collateral shares and funded shares, as far as may be required, shall be retained in the Demat A/c of Angel, separately identified as collateral shares and funded shares.

31. Daily margin statement sent to the MTF clients shall identify margin/collateral for MTF transaction separately.

32. MTF account where there is no transaction under MTF for more than 90 days shall be settled immediately on expiry of said 90 days provided there are no dues outstanding in the MTF account. Dues if any outstanding in the normal trading account shall be first adjusted against the settlement amount and the remainder shall be paid to the Client.

33. Angel shall declare and communicate to the Client risk management policies that it will follow with respect to MTF transactions. Angel may amend the policies from time to time according to its risk perceptions and inform the Clients of the amendments made.

34. Any disputes arising between the client and Angel in connection with the margin trading facility shall be resolved through the investor grievance redressal mechanism and/or arbitration mechanism of the stock exchanges as in the case of normal trades.

35. The Rights and Obligations prescribed hereinabove shall be read in conjunction with the rights and obligations as prescribed under SEBI circular No. CIR/ MIRSD/ 16/ 2011 dated August 22, 2011, SEBI Circular No. CIR/MRD/DP/54/2017 Dtd. June 13, 2017, the Circulars relating to MTF issued by the respective Stock Exchanges, any modifications thereto from time to time and the Policies and Procedures prescribed by Angel and the terms and conditions of client's agreement with Angel. In case of any inconsistencies between the Rights and Obligations herein and the provisions in the aforesaid SEBI and/or Stock Exchange Circulars, the later shall prevail to the extent of such inconsistencies

I would like to avail of Margin Trading Facility and agree to the above Rights & Obligation

Authorised Person with Seal

Client Signature CL 14/15

POWER OF ATTORNEY - POA (VOLUNTARY) AS PER EQUITY

DULY STAMPED

TO ALL TO WHOM THESE PRESENTS SHALL COME I/we _____, (name of the BO, with full address), Indian inhabitant/Non-resident SEND GREETINGS.

Whereas I/we hold a Beneficiary account no. _____ (BO-ID) with Central Depository Services (India) Limited, with Angel Broking Pvt. Ltd (a Depository Participant registered with CDSL) bearing DP-ID 12033200.

And Whereas I/we are registered as a client with Angel Broking Pvt. Ltd (trading member of Bombay Stock Exchange Ltd., National Stock Exchange of India Ltd., and Metropolitan Stock Exchange of India Ltd. for dealing in the securities market.

NOW KNOW WE ALL AND THESE PRESENTS WITNESSTH THAT I/We THE ABOVENAMED DO HEREBY NOMINATE, CONSTITUTE / AND APPOINT M/s Angel Broking Pvt. Ltd., as my/our true and lawful attorney (hereinafter referred to as the Attorney) for me/us and on my/our behalf and in my/our name to do the following:

1. To debit my/our aforesaid beneficiary account and to transfer securities there-out for the purpose of delivering/pledging the same to the clearing corporation of the recognized stock exchange in any segment to discharge my/our settlement obligations in respect of securities sold by me/us or for the purpose of providing margins in respect of the trading positions taken up by me/us. A list of the Demat accounts of my/our Attorney where securities can be transferred in exercise of the power conferred herein is specified in Schedule-I to this PoA, overleaf. My attorney may amend the Schedule by adding / deleting demat account(s) to / from the list with prior intimation to me / us in writing, of the particulars of the demat account(s) so added or deleted.
2. On my/our instructions, to debit my/our aforesaid beneficiary account and to transfer securities thereout, by way off-market transaction, to the specified beneficiary account(s) of the related parties as may be intimated in writing by me / us from time to time.
3. To apply for and subscribe to, on my/our instructions, to various products like Mutual Funds, Initial Public Offerings, Public Issues (shares as well as debentures), tendering shares in open offers, Rights etc., including redemption where applicable, through online/offline platform and to perform, do, undertake, discharge all incidental and ancillary acts, deeds, matters, things, functions and obligations in connection therewith.
4. I/we authorize my/our said Attorney to send me/us consolidated summary of scrip wise buy and sell positions, subscriptions to IPOs, Mutual Funds and other products by way of short messaging services or e-mails whenever such transactions are carried out on my/our behalf.
5. The said Attorney shall return to me/us the Securities that may have been received by it erroneously or that it was not entitled to receive.
6. I/we do hereby ratify and confirm and agree to ratify and confirm whatsoever my/our said Attorney shall have lawfully done or may lawfully do or cause to be done by virtue of or in exercise of any power hereby granted, given authorised or implied or intended to be so granted, given or authorised and also all lawful acts, deeds, matters and things done by the said Attorney of the nature mentioned above or incidental or relating thereto or arising there-from or deemed by my/our Attorney to be requisite or expedient to be done or performed in exercise of any power herein.
7. I/we further agree and confirm that the powers and authorities conferred by this Power of Attorney shall continue to be good, valid and effective until revoked by me/us in writing given to my Attorney and that the Power of Attorney shall not be affected by lapse of time. This Power of Attorney shall continue in full force and effect until my/our Attorney shall receive written notice of revocation thereof, signed by me/us; or, in the event of termination thereof by my/our death, until my/our Attorney shall have received actual notice thereof, and such revocation or termination shall in no way affect the validity of this Power of Attorney with reference to any transactions initiated by my/our Attorney, prior to the actual receipt by the Attorney of the notice of such revocation or termination, as above provided. Further, without prejudice to the generality of the aforesaid, such revocation of this Power of Attorney, in so far as any transaction, settlement of which is pending on the date of receipt of notice of revocation by my/our Attorney is concerned, shall become effective only after all pending obligations in respect of such transactions are settled on the respective settlement dates and all dues owing by me/us in connection therewith have been fully paid by me/us to the Attorney. Further, such revocation of the power and authority given to my/our Attorney hereby shall in no way affect the validity of any acts, deeds or things done or action taken by my /our Attorney for discharging any of my/our settlement obligations in respect of any transactions settlement of which is pending on the date of receipt of the notice of revocation by the Attorney.

CL 15/15

Authorised Person Signature

(Client Signature)

Signature of Witness: FW

I / We accept (For Angel Broking Pvt. Ltd.) With Seal

Name: _____

Address: _____

_____

Authorised Signatory: _____

Date: _____

SCHEDULE 1 - LIST OF DEMAT ACCOUNTS

Depository Participant Name - Demat A/c No.		Exchange	Name / Type	Depository Participant Name - Demat A/c No.		Exchange	Name / Type
Angel Broking Pvt. Ltd.	1203320000000028	BSE	ABPL/Client Beneficiary A/c	HDFC Bank	32[illegible]9952	NSE	ABPL/Client Beneficiary A/c
HDFC Bank	10003588	BSE	ABPL/Pool A/c	Angel Broking Pvt. Ltd.	1203320000026363	NSE Currency	ABPL/Client Beneficiary A/c
Angel Broking Pvt. Ltd.	1203320000006564	BSE	ABPL/Pool A/c	Angel Broking Pvt. Ltd.	1203320004025849	MSXI	ABPL/Client Beneficiary A/c
Angel Broking Pvt. Ltd.	1203320000000066	BSE	ABPL/Client Beneficiary A/c	Angel Broking Pvt. Ltd.	1203320004574264	NSE FO	ABPL/Client Beneficiary A/c
HDFC Bank	14216209	BSE	ABPL/Client Beneficiary A/c	HDFC Bank	16921197	NSE FO	ABPL/Client Beneficiary A/c
IL & FS	10184021	NSE	ABPL/Pool A/c	Angel Broking Pvt. Ltd.	1203320007561350	BSE FO	ABPL/Client Beneficiary A/c
Angel Broking Pvt. Ltd.	1203320006951435	NSE	ABPL/Pool A/c	ICICI Bank	20057525	NSE FO	ABPL/Client Beneficiary A/c
Angel Broking Pvt. Ltd.	1203320000000051	NSE	ABPL/Client Beneficiary A/c	ICICI Bank	20057752	NSE	ABPL/Client Beneficiary A/c
Angel Broking Pvt. Ltd.	1203320009603460	BSE / NSE	ABPL/Client Beneficiary A/c (Margin Trading)	Angel Broking Pvt. Ltd.	1203320000006579	NSE	ABPL/Pool A/c

Note: In case of any correction in the form - Sign next to the correction done & Sign has to match the original signature

Angel Broking Pvt. Ltd.

Registered / Correspondence Office: G-1, Ackruti Trade Centre, Road No. 7, MIDC, Andheri (E), Mumbai - 400 093.
Tel.: 91 22 2835 8800 / 3083 7700. Fax: 91 22 2835 8811. Website: www.angelbroking.com. CIN: U67120MH1996PTC101709

Member: Bombay Stock Exchange Ltd. / National Stock Exchange of India Ltd./ Metropolitan Stock Exchange of India Ltd. / Multi Commodity Exchange of India Ltd. / National Commodity & Derivatives Exchange Ltd. / CDSL-Depository Participant

SEBI Registration No.: INZ000161534

BSE & NSE Cash Segment
TM Code - BSE 612 **TM Code** - NSE 12798

NSE F&O Segment (Trading Member)
TM Code - NSE 12798
ICICI Bank Ltd. (Clearing Member)
SEBI Registration No.: INF231134745
CM Code - C50006

NSE Currency Derivatives Segment (Trading cum Clearing Member)
TM Code - NSE Currency 12798

BSE Currency Derivatives Segment (Trading Member)
TM Code - 612
IL&FS Securities Services Ltd. (Clearing Member)
SEBI Registration No.: INE011311532
CM Code - 807

Multi Commodity Exchange of India Ltd. (Trading cum Clearing Member)
TM Code - 12685

National Commodity & Derivatives Exchange Ltd. (Trading cum Clearing Member)
TM Code - 00220

CDSL-Depository Participant - SEBI Registration No.: IN-DP-CDSL-234-2004 **Date:** 12/5/2004 | **CDSL DP ID:** 12033200

For any grievance/dispute please contact Angel Broking Private Limited at the above address or email id: support@angelbroking.com and Phone no.: 022-3355 1111 / 4281 5454. In case not satisfied with the response, please contact the concerned exchange(s): BSE Email: is@bseindia.com & Tel.: (022) 2272 8097 | NSE Email: ignse@nse.co.in & Tel.: (022) 2659 8190 | MCX Email: customersupport@mcxindia.com & Tel No: (022) 6731 8888 | NCDEX Email: askus@ncdex.com & Tel No: (022) 6640 6899

Compliance Officer Name: Ms. Namita Godbole. Tel.: 91 22 3941 3940. Email ID: compliance@angelbroking.com
CEO Name: Mr. Vinay Agrawal. Tel.: 022 - 3355 1111 / 4218 5454. Email ID: support@angelbroking.com
Also refer our website: www.angelbroking.com for updated details, if any.

For details: Please contact your nearest branch or call
Centralized Helpdesk at: 022 - 3355 1111 / 4281 5454
or write to support@angelbroking.com

Angel Broking®